



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
1st Constitution Bancorp	FCCY	Allied World Assurance Company Holdings, AG	AWH
1st Source Corporation	SRCE*	Ally Financial Inc.	ALLY*
ACNB Corporation	ACNB	Alon USA Energy, Inc. Common Stock	ALJ
AEGON NV	AEG	Altaba Inc.	AABA
AG Mortgage Investment Trust, Inc.	MITT*	Altisource Portfolio Solutions S.A.	ASPS
AGNC Investment Corp.	AGNC*	Altisource Residential Corporation	RESI*
AON plc	AON*	AmTrust Financial Services, Inc.	AFSI*
ASB Bancorp	ASBB	AmTrust Financial Services, Inc. 6.75% Non-Cumulative Prefer	AFSI-A
AT&T, Inc.	T	Ambac Financial Group, Inc.	AMBC
Abbott Laboratories Common Stock	ABT	American Assets Trust, Inc.	AAT
Acadia Realty Trust	AKR	American Axle & Manufacturing Holdings, Inc. Common Stock	AXL
Access National Corporation	ANCX*	American Campus Communities, Inc.	ACC
AerCap Holdings N.V.	AER	American Capital Senior Floating, Ltd.	ACSF
Aetna Inc	AET	American Equity Investment Life Holding Co.	AEL*
Affiliated Managers Group, Inc.	AMG*	American Express Company	AXP*
Aflac Inc	AFL*	American Financial Group Inc.	AFG
Agree Realty Corporation	ADC	American Homes 4 Rent	AMH*
Agrium Inc. Common Shares	AGU	American Homes 4 Rent 5.000% Series B Participating Preferre	AMH-B
Air Lease Corporation	AL	American International Group, Inc.	AIG*
Aircastle Limited	AYR	American International Group, Inc. Warrants (expiring Januar	AIG+
Albany Molecular Research, Inc.	AMRI	American National Bankshares, Inc.	AMNB*
Alcentra Capital Corporation	ABDC*	American National Insurance Co	ANAT
Alere Inc. Common Stock	ALR	American River Bankshares	AMRB
Alexander & Baldwin, Inc.	ALEX	American Tower Corporation Common Stock	AMT
Alexandria Real Estate Equities, Inc.	ARE	Ameriprise Financial, Inc.	AMP*
Alleghany Corporation	Y	Ameris Bancorp	ABCB*
Allegiance Bancshares, Inc.	ABTX*	Amerisafe, Inc.	AMSF
Allergan plc Ordinary Shares	AGN	Ameriserv Financial, Inc.	ASRV
Alliance Data Systems Corp.	ADS*	Analog Devices, Inc.	ADI
AllianceBernstein Holding L.P.	AB*	Anchor Bancorp	ANCB*



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Angie's List, Inc.	ANGI	Atlantic Coast Bank	ACFC
Annaly Capital Management, Inc.	NLY*	Atlanticus Holding Corporation	ATLC
Anthem	ANTM	Atlas Financial Holdings, Inc.	AFH
Anworth Mortgage Asset Corporation	ANH	Atwood Oceanics, Inc. Common Stock	ATW
Apartment Investment & Management Co.	AIV	Auburn National Bancorporation	AUBN
Apollo Commercial Real Estate Finance, Inc.	ARI*	Avalon Bay Communities	AVB
Apollo Global Management, LLC	APO*	Axis Capital Holdings Limited	AXS*
Apollo Investment Corp.	AINV*	B. Riley Financial, Inc.	RILY
Arbor Realty Trust, Inc.	ABR*	BB&T Corporation	BBT*
Arch Capital Group Ltd.	ACGL*	BB&T Corporation Depository Shares each representing 1/1,000	BBT-G
Ares Capital Corporation	ARCC*	BCB Bancorp, Inc.	BCBP
Ares Commercial Real Estate Corporation	ACRE*	BGC Partners, Inc.	BGCP
Ares Dynamic Credit Allocation Fund, Inc. Common Shares	ARDC	BHP Billiton Limited ADS	BHP
Ares Management, L.P.	ARES*	BOK Financial Corporation	BOKF*
Argo Group International Holdings, Ltd.	AGII*	BP p.l.c. ADS	BP
Arlington Asset Investment	AI	BSB Bancorp Inc	BLMT
Armour Residential REIT, Inc.	ARR	Baker Hughes Incorporated Common Stock	BHI
Arrow Financial Corporation	AROW	Baldwin & Lyons, Inc.	BWINB
Arthur J. Gallagher & Co.	AJG*	BanColombia SA ADS	CIB
Artisan Partners Asset Management Inc.	APAM*	Banc of California, Inc.	BANC*
Ashford Hospitality Prime, Inc. Common Stock	AHP	Banc of California, Inc. Depository Shares, each representin	BANC-C
Ashford Hospitality TR, Inc.	AHT	BancFirst Corporation	BANF*
Aspen Insurance Holdings Limited	AHL	Banco Bilbao Vizcaya Argentaria	BBVA
Associated Banc-Corp	ASB*	Banco Bradesco S A NEW	BBD
Assurant, Inc	AIZ	Banco Latinoamericano de Comercio Exterior, S.A. Class E Com	BLX
Assured Guaranty Ltd.	AGO	Banco Santander ADS	SAN
Asta Funding, Inc.	ASFI	Banco Santander Brasil S A	BSBR
Astoria Financial Corporation	AF*	Banco Santander-Chile ADS	BSAC
Athene Holding Ltd.	ATH*	Bancorp 34, Inc.	BCTF
Atlantic Capital Bancshares, Inc.	ACBI*	BancorpSouth Inc	BXS*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Bank Mutual Corporation	BKMU*	BlackRock Capital Investment Corporation	BKCC*
Bank of America Corp.	BAC*	BlackRock Inc	BLK*
Bank of America Corporation Warrants (expiring January 16, 2	BAC+A	Blackstone Mortgage Trust, Inc.	BXMT*
Bank of America Corporation Warrants (expiring October 28, 2	BAC+B	Blue Capital Reinsurance Holdings, Ltd.	BCRH*
Bank of Hawaii Corp.	BOH*	Blue Hills Bancorp, Inc.	BHBK*
Bank of Marin Bancorp	BMRC*	Bofl Holding, Inc.	BOFI*
Bank of Montreal	BMO	Boston Private Financial Holdings, Inc.	BPFHP
Bank of N.T. Butterfield & Son Ltd.	NTB*	Boston Private Financial Holdings, Inc.	BPFH*
Bank of Nova Scotia	BNS	Boston Properties	BXP
Bank of South Carolina Corp.	BKSC	Brandywine Realty Trust	BDN
Bank of the Ozarks Inc	OZRK*	Bridge Bancorp, Inc	BDGE*
BankUnited, Inc.	BKU*	Brixmor Property Group Inc. Common Stock, par value \$0.01 pe	BRX
Bankfinancial	BFIN	Broadcom Limited	AVGO
Bankrate, Inc.	RATE	Broadridge Financial Solutions, Inc.	BR*
Bankwell Financial Group, Inc.	BWFG*	Broadway Financial Corporation	BYFC
Banner Corporation	BANR*	Brocade Communications Systems, Inc.	BRCD
Bar Harbor Bankshares	BHB	Brookdale Senior Living	BKD
Barclays Capital, Inc	BCS	Brookfield Asset Management Inc.	BAM*
Barrick Gold Corporation Common Shares	ABX	Brookfield Property Partners L.P. Limited Partnership Units	BPY
Bay Bancorp, Inc.	BYBK	Brookline Bancorp, Inc.	BRKL*
Bear State Financial, Inc.	BSF	Brown & Brown, Inc.	BRO*
Beazer Homes U S A Inc.	BZH	Bryn Mawr Bank Corporation	BMTC*
Belmond Ltd. Class A Common Shares	BEL	C&F Financial Corporation	CFFI
Beneficial Bancorp, Inc	BNCL*	CAI International Inc.	CAI*
Berkshire Hathaway Inc. Class B Common Stock	BRK.B	CAPITOL FIRST CORP COM NEW	CFCO
Berkshire Hills Bancorp, Inc	BHLB*	CB Financial Services, Inc.	CBFV*
Berry Global Group, Inc. Common Stock	BERY	CBL & Associates Properties	CBL
BioTelemetry, Inc.	BEAT	CBOE Holdings, Inc	CBOE*
Black Knight Financial Services, Inc.	BKFS*	CBRE Group Inc.	CBG*
BlackBerry Limited	BBRY	CF Corporation	CFCO



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COMPANY	SYMBOL	COMPANY	SYMBOL
CIGNA	CI	Capstead Mortgage Corporation 7.50% Series E Cumulative Rede	CMO-E
CIT Group Inc.	CIT*	CardConnect Corp.	CCN
CM Finance Inc.	CMFN*	Cardtronics, Inc.	CATM
CME Group, Inc.	CME*	Care Capital Properties	CCP
CNA Financial Corporation	CNA*	Carolina Financial Corporation	CARO*
CNB Financial Corp.	CCNE	Carolina Trust Bank	CART
CNO Financial Group, Inc.	CNO*	Carver Bancorp Inc	CARV
CST Brands, Inc. Common Stock, par value \$0.01 per share	CST	Cathay General Bancorp	CATY*
CU Bancorp	CUNB*	Cedar Shopping Centers, Inc	CDR
CVB Financial Corp	CVBF*	Cemex, S.A.B. de C.V. ADS	CX
CYS Investments Inc	CYS*	Cenovus Energy Inc. Common Shares	CVE
Cabela's Incorporated Class A Common Stock	CAB	CenterState Banks, Inc.	CSFL*
Cadence Bancorporation	CADE*	Central Federal Corporation	CFBK
Caesars Acquisition Company	CACQ	Central Pacific Financial Corp.	CPF*
Caesars Entertainment Corporation	CZR	Central Valley Community Bancorp	CVCY*
CalAtlantic Group, Inc.	CAA	Century Bancorp, Inc.	CNBKA
California First National Bancorp	CFNB	Century Communities, Inc.	CCS
Camden National Corp	CAC*	CenturyLink	CTL
Camden Property Trust	CPT	Charter Financial Corporation	CHFNB*
Canadian Imperial Bank of Commerce	CM	Chatham Lodging Trust	CLDT
Canadian Pacific Railway Limited Common Shares	CP	Chemical Financial Corp	CHFC*
CapStar Financial Holdings, Inc.	CSTR*	Chemung Financial Corporation	CHMG
Capital Bank Financial Corp.	CBF*	Cherry Hill Mortgage Investment Corporation	CHMI
Capital City Bank Group, Inc.	CCBG*	Chesapeake Lodging Trust	CHSP
Capital One Financial Corp	COF*	Chimera Investment Corp.	CIM*
Capital One Financial Corporation Warrants (expiring Novembe	COF+	China Life Insurance ADS	LFC
Capital Southwest Corporation	CSWC	China Mobile Limited ADS	CHL
Capitala Finance Corp.	CPTA*	Christopher & Banks Corporation Common Stock	CBK
Capitol Federal Financial, Inc.	CFFN*	Chubb Limited	CB*
Capstead Mortgage Corp.	CMO*	Cincinnati Financial Corp	CINF*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Citigroup Inc.	C*	Community Healthcare Trust Incorporated Common Stock, \$0.01	CHCT
Citigroup Inc. Depositary Shs, a 1/1,000th Interest in a Sha	C-K	Community Trust Bancorp, Inc.	CTBI*
Citigroup Inc. Depositary Shs, a 1/1000th Interest in a Shar	C-J	Community West Bancshares	CWBC
Citigroup Inc. Warrants (expiring January 4, 2019)	C+A	Compass Diversified Holdings	CODI
Citizens & Northern Corporation	CZNC	Conn's, Inc.	CONN
Citizens Community Bancorp	CZWI	ConnectOne Bancorp Inc	CNOB*
Citizens Financial Group Inc.	CFG*	Consolidated Communications Holdings, Inc.	CNSL
Citizens First Corp.	CZFC	Consumer Portfolio Services, Inc.	CPSS
Citizens Holding Company	CIZN*	CoreCivic, Inc. Common Stock	CXW
City Holding Company	CHCO*	CoreLogic, Inc.	CLGX*
City Office REIT, Inc. Common Stock, \$0.01 par value per sha	CIO	CoreSite	COR
Civista Bancshares, Inc.	CIVBP	Corporate Office Properties Trust	OFC
Civista Bancshares, Inc.	CIVB*	County Bancorp, Inc.	ICBK
Clifton Savings Bancorp	CSBK	Cousins Properties Inc	CUZ
CoBiz Financial Inc.	COBZ*	Cowen Group, Inc.	COWN
Coastway Bancorp, Inc.	CWAY	Crawford & Company Class B Common Stock	CRD.B
Codorus Valley Bancorp, Inc.	CVLY	Credit Acceptance Corporation	CACC
Cohen & Steers Inc	CNS*	Credit Suisse Group	CS
Colgate-Palmolive Company Common Stock	CL	CubeSmart	CUBE
Colony Bankcorp, Inc.	CBAN	Cullen/Frost Bankers Inc	CFR*
Colony NorthStar, Inc.	CLNS*	Customers Bancorp, Inc.	CUBI*
Colony Starwood Homes	SFR*	CyrusOne	CONE
Columbia Banking System, Inc.	COLB*	D.R. Horton, Inc.	DHI*
Comerica Inc	CMA*	DCT Industrial Trust	DCT
Comerica Incorporated Warrants (expiring November 14, 2018)	CMA+	DDR Corp.	DDR
Commerce Bancshares Inc	CBSH*	DNB Financial Corp	DNBF
Commerce Union Bancshares, Inc.	CUBN	DST Systems Inc.	DST
Community Bank System, Inc	CBU*	Delek US Holdings, Inc. Common Stock	DK
Community Bankers Trust Corporation	ESXB*	Deutsche Bank Securities	DB
Community First Bancshares, Inc.	CFBI	DiamondRock Hospitality Co.	DRH



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Digi International Inc.	DGII	Elevate Credit, Inc. Common Stock	ELVT
Digital Realty Trust	DLR	Ellie Mae	ELLI
DigitalGlobe, Inc. Common Stock	DGI	Ellington Financial LLC	EFC*
Dime Community Bancshares, Inc.	DCOM*	Ellington Residential Mortgage REIT	EARN
Discover Financial Services	DFS*	Empire State Realty Trust, Inc. Class A Common Stock, \$0.01	ESRT
Donegal Group Inc.	DGICA*	Employers Holdings, Inc.	EIG
Douglas Emmett, Inc	DEI	EnCana Corporation Common Shares	ECA
Drive Shack Inc. Common Stock	DS	Encore Capital Group Inc	ECPG*
Duke Realty Corp.	DRE	Ensco plc Class A Ordinary Share	ESV
Dupont Fabros Technology, Inc.	DFT	Enstar Group LTD	ESGR
Dynegy Inc. Common Stock	DYN	Entegra Financial Corp.	ENFC
Dynex Capital, Inc. 7.625% Series B Cumulative Redeemable Pr	DX-B	Enterprise Bancorp Inc.	EBTC
E*TRADE Financial Corporation	ETFC*	Enterprise Financial Services Corp.	EFSC*
E.I. du Pont de Nemours and Company Common Stock	DD	Equifax	EFX
ELEVATE CREDIT, INC.	ELVT	Equitable Financial Corp	EQFN
EMC Insurance Group Inc.	EMCI*	Equity Bancshares, Inc.	EQBK*
ENI S.p.A. ADS	E	Equity Commonwealth Common Shares of Beneficial Interest	EQC
EPR Properties	EPR	Equity LifeStyle Properties, Inc.	ELS
ESSA Bancorp Inc	ESSA	Equity Residential Properties Trust	EQR
Eagle Bancorp Montana, Inc.	EBMT	Erie Indemnity Company	ERIE
Eagle Bancorp, Inc (MD)	EGBN*	Essent Group Ltd.	ESNT*
Eagle Point Credit Company Inc.	ECC*	Essex Property Trust Inc	ESS
East West Bancorp Inc	EWBC*	Evans Bancorp Inc New	EVBN
Easterly Government Properties, Inc. Common Stock	DEA	Evercore Partners Inc	EVR*
Eastgroup Properties	EGP	Everest Re Group, Ltd.	RE*
Eaton Corporation, plc Ordinary Shares, par value \$0.01 per	ETN	Evertec, Inc.	EVTC
Eaton Vance Corp.	EV*	Extended Stay America, Inc. (ESH)	STAY
Ebix, Inc.	EBIX	Extra Space Storage Inc.	EXR
Education Realty Trust, Inc	EDR	F.N.B. Corporation	FNB*
Eldorado Resorts, Inc.	ERI	FB Financial	FBK*



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COMPANY	SYMBOL	COMPANY	SYMBOL
FBL Financial Group, Inc.	FFG	Fifth Third Bancorp	FITB*
FCB Financial Holdings, Inc.	FCB*	Financial Engines Inc	FNGN
FLY Leasing Ltd	FLY	Financial Institutions, Inc	FISI*
FNB Bancorp	FNBG	First American Financial Corp.	FAF*
FS Bancorp	FSBW	First BanCorp	FBP*
FS Investment Corporation	FSIC*	First BanCorp	FBNC*
FSB Bancorp, Inc.	FSBC	First Bancorp, Inc.	FNLC
Factset Research Systems, Inc.	FDS	First Bank	FRBA
Fair Isaac	FICO	First Busey Corporation	BUSE*
FairPoint Communications, Inc.	FRP	First Business Financial Services, Inc	FBIZ*
Fanhua Inc.	FANH	First Capital, Inc.	FCAP
Farmer Mac (Federal Agricultural Mtg Corp)	AGM*	First Cash Financial Services, Inc.	FCFS
Farmers & Merchants Bancorp, Inc.	FMAO	First Citizens BancShares, Inc.	FCNCA
Farmers Capital Bank Corp	FFKT*	First Commonwealth Financial Corporation	FCF*
Farmers National Banc Corp.	FMNB*	First Community Bancshares, Inc	FCBC*
Farmland Partners	FPI	First Community Corporation	FCCO
Fauquier Bankshares, Inc.	FBSS	First Connecticut Bancorp Inc.	FBNK*
Federal Realty Investment Trust	FRT	First Data Corp.	FDC*
Federated Investors, Inc	FII*	First Defiance Financial Corp	FDEF*
Federated National Holding Company	FNHC*	First Financial Bancorp	FFBC*
FelCor Lodging Trust Incorporated	FCH	First Financial Bancorp.	FFBCW
Fidelity & Guaranty Life Common stock, \$0.01 par value per s	FGL	First Financial Bankshares, Inc.	FFIN*
Fidelity National Financial Ventures	FNFV*	First Financial Corp.	THFF*
Fidelity National Financial, Inc	FNF*	First Financial Northwest, Inc.	FFNW*
Fidelity National Information Services, Inc.	FIS	First Foundation Inc.	FFWM
Fidelity Southern Corporation	LION*	First Hawaiian, Inc.	FHB*
Fidus Investment Corporation	FDUS*	First Horizon National Corp	FHN*
Fifth Street Finance Corp.	FSC*	First Industrial Realty Trust Inc	FR
Fifth Street Finance Corp.	FSCFL	First Internet Bancorp	INBK*
Fifth Street Senior Floating Rate Corp.	FSFR	First Interstate BancSystem, Inc.	FIBK*



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COMPANY	SYMBOL	COMPANY	SYMBOL
First Merchants Corporation	FRME*	General Electric Co	GE
First Mid-Illinois Bancshares Inc.	FMBH	General Growth Properties Inc	GGP
First Midwest Bancorp Inc	FMBI*	Genesis Healthcare, Inc. Class A Common Stock	GEN
First Northwest Bancorp	FNWB	Genworth	GNW*
First Potomac Realty Trust	FPO	German American Bancorp, Inc.	GABC*
First Republic Bank	FRC*	Getty Realty Corp.	GTY
First Savings Financial Group, Inc	FSFG	Glacier Bancorp, Inc.	GBCI*
First South Bancorp, Inc.	FSBK	Gladstone Capital Corporation	GLAD
First US Bancshares, Inc.	FUSB	Gladstone Companies	GAIN
First United Corporation	FUNC	GlaxoSmithKline plc ADS	GSK
Firsthand Technology Value Fund, Inc.	SVVC	Glen Burnie Bancorp	GLBZ
Fiserv Inc	FISV	Global Brokerage Inc	GLBR
Five Oaks Investment Corp.	OAKS	Global Indemnity PLC	GBLI
Five Oaks Investment Corp. Series A Cumulative Preferred Sto	OAKS-A	Global Payments, Inc.	GPN*
Flagstar Bancorp, Inc.	FBC*	Goldcorp Inc. Common Shares	GG
FleetCor Technologies, Inc.	FLT*	Goldman Sacks BDC	GSBD
Flushing Financial Corporation	FFIC*	Golub Capital BDC, Inc.	GBDC*
Forest City Realty Trust, Inc. Class A Common Stock	FCE.A	Government Properties Income Trust	GOV
Forestar Group Inc. Common Stock	FOR	Gramercy Property Trust Inc.	GPT
Fortress Investment Group LLC	FIG*	Granite Point Mortgage Trust Inc.	GPMT
Franklin Resources, Inc	BEN*	Great Ajax Corp. Common stock	AJX
Franklin Street Properties Corp	FSP	Great Elm Capital Corp	GECC
Fulton Financial Corp.	FULT*	Great Plains Energy Incorporated Common Stock	GXP
GAIN Capital Holdings, Inc.	GCAP*	Great Southern Bancorp, Inc.	GSBC*
GSV Capital Corp	GSVC	Great Western Bancorp, Inc.	GWB*
Gabelli Asset Management	GBL	Green Bancorp, Inc.	GNBC*
Gaming and Leisure Properties, Inc.	GLPI	Green Dot Corporation	GDOT*
Garrison Capital Inc.	GARS	Greenhill & Co., Inc.	GHL*
Gartner, Inc. Common Stock \$.0005 Par Value	IT	Greenlight Capital RE, Ltd.	GLRE
General Electric Capital Corporation 4.70% Notes due May 16,	GEK	Grifols, S.A.	GRFS



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Grupo Financiero Santander Mexico, S.A.B. de C.V. ADS	BSMX	Hilton Worldwide Holdings Inc. Common Stock	HLT
Guaranty Bancorp	GBNK*	Hingham Institution for Savings	HIFS
Guaranty Bancshares, Inc.	GNTY	Home BancShares, Inc.	HOMB*
Guaranty Federal Bancshares	GFED	Home Bancorp, Inc	HBCP
H&R Block	HRB	Home Federal Bancorp, Inc. of Louisiana	HFBL
HCI Group, Inc.	HCI*	HomeStreet, Inc.	HMST*
HCP, Inc.	HCP	HomeTrust Bancshares, Inc.	HTBI*
HDFC Bank Ltd. ADS	HDB	HopFed Bancorp, Inc.	HFBC
HFF, Inc.	HF*	Hope Bancorp, Inc.	HOPE*
HSBC Holdings PLC	HSBC	Horace Mann Educators Corporation	HMN*
HV Bancorp, Inc.	HVBC	Horizon Bancorp	HBNC*
Hallmark Financial Services, Inc.	HALL	Horizon Pharma, Inc.	HZNP
Hamilton Bancorp, Inc.	HBK	Horizon Technology Finance Corporation	HRZN*
Hamilton Lane Advisors LLC	HLNE*	Hospitality Properties Trust	HPT
Hancock Holding Co	HBHC*	Host Hotels & Resorts Corporation	HST
Hanmi Financial Corp	HAFC*	Houlihan Lokey, Inc.	HLI*
HarborOne Bancorp, Inc.	HONE	Hovnanian Enterprises Inc.	HOV
Harvest Capital Credit Corporation	HCAP*	Howard Bancorp, Inc.	HBMD
Harvest Capital Credit Corporation	HCAPL	Hudson Pacific Properties, Inc.	HPP
Hawthorn Bancshares, Inc.	HWBK	Humana Inc	HUM
Healthcare Realty Trust Inc	HR	Huntington Bancshares Inc	HBAN*
Heartland Financial USA, Inc.	HTLF*	Huntsman Corporation Common Stock	HUN
Hercules Capital, Inc.	HTGC*	Hyatt Corporation	H
Hercules Capital, Inc. 6.25% Notes due 2024	HTGX	ICC Holdings, Inc.	ICCH
Heritage Commerce Corp	HTBK*	IF Bancorp, Inc.	IROQ
Heritage Financial Corp	HFWA*	IHS Markit	INFO
Heritage Insurance Holdings, Inc.	HRTG*	ING Groep NV	ING
Hersha Hospitality Trust	HT	INTL FCStone Inc.	INTL
Highwoods Properties Inc.	HIW	Iberiabank Corporation	IBKC*
Hilltop Holdings Inc.	HTH*	Icici Bank	IBN



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Independent Bank Corp	INDB*	James River Group Holdings, Ltd.	JRVR*
Independent Bank Corporation	IBCP*	Janus Henderson Group Plc	JHG*
Independent Bank Group, Inc.	IBTX*	Jones Lang LaSalle Incorporated	JLL*
Infinity Property and Casualty Corp.	IPCC*	KB Home	KBH*
InfraREIT, Inc. Common Stock	HIFR	KCAP Financial, Inc.	KCAP*
Insight Enterprises, Inc.	NSIT	KCG Holdings, Inc.	KCG*
Intel Corp	INTC	KKR & Co. L.P.	KKR*
InterXion Holding N.V. Ordinary shares, nominal value	INXN	KKR Real Estate Finance Trust Inc.	KREF*
Interactive Brokers Group, Inc.	IBKR*	KLA-Tencor Corporation	KLAC
Intercontinental Exchange, Inc.	ICE*	Kate Spade & Company Common Stock	KATE
International Bancshares Corp.	IBOC	Kearny Financial Corp.	KRNY*
Intrawest Resorts Holdings, Inc. Common Stock, \$0.01 par val	SNOW	Kemper Corporation	KMPR
Invesco Ltd.	IVZ*	Kennedy Wilson	KW
Invesco Mortgage Capital, Inc	IVR*	Kentucky First Federal Bancorp	KFFB
Investar Holding Corporation	ISTR	KeyCorp	KEY*
Investment Technology Group, Inc.	ITG*	Kilroy Realty Corp	KRC
Investors Bancorp, Inc.	ISBC*	Kimco Realty	KIM
Investors Title Company	ITIC	Kingsway Financial Services Inc.	KFS
Invitation Homes Inc.	INVH*	Kinsale Capital Group, Inc.	KNSL
Ishares Cohen & Steers Realty Majors Etf	ICF	Kite Realty Group Trust	KRG
Ishares Dow Jones U.S. Real Estate Etf	IYR	Knight Transportation, Inc. Common Stock	KNX
Ishares Ftse Nareit Mortgage Reits Etf	REM	Kookmin Bank ADS	KB
Itau CorpBanca ADS	ITCB	LCNB Corp.	LCNB
Iteris, Inc.	ITI	LMI Aerospace, Inc.	LMIA
J. Alexander's Holdings, Inc. Common Stock, par value \$0.001	JAX	LPL Financial Holdings Inc.	LPLA*
JMP Group Inc.	JMP*	LSI Corporation	LSI
JPMorgan Chase & Co.	JPM*	LTC Properties	LTC
JPMorgan Chase & Co. Warrants (expiring October 28, 2018)	JPM+	LaSalle Hotel Properties	LHO
Jack Henry & Associates	JKHY	Ladder Capital Corp.	LADR*
Jacksonville Bancorp, Inc.	JXSB	Ladenburg Thalmann Financial Services Inc. Common Stock	LTS



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COMPANY	SYMBOL	COMPANY	SYMBOL
Lake Shore Bancorp, Inc. (MHC)	LSBK	MB Financial, Inc	MBFI*
Lakeland Bancorp, Inc	LBAI*	MBIA, Inc.	MBI
Lakeland Financial Corporation	LKFN*	MBT Financial Corp.	MBTF
Lam Research Corporation	LRCX	MFA Financial, Inc.	MFA*
Landmark Bancorp Inc.	LARK	MGIC Investment Corp.	MTG*
Las Vegas Sands Corp. Common Stock	LVS	MGM Growth Properties LLC Class A common shares representing	MGP
Lattice Semiconductor Corporation	LSCC	MSB Financial Corp (MHC)	MSBF
Lazard Ltd.	LAZ*	MSCI Inc.	MSCI*
LegacyTexas Financial Group, Inc.	LTXB*	MTGE Investment Corp.	MTGE*
Legg Mason Inc	LM*	MVC Capital	MVC
LendingClub Corporation	LC*	Macatawa Bank Corporation	MCBC*
LendingTree	TREE	Macerich Company	MAC
Lennar Corporation	LEN*	Mack-Cali Realty Corporation	CLI
Leucadia National Corporation Common Stock	LUK	Mackinac Financial Corporation	MFNC
Level 3 Communications, Inc. Common Stock, \$0.01 par value	LVLT	Magyar Bancorp, Inc.	MGYR
Lexington Realty Trust	LXP	Maiden Holdings North America, Ltd. 7.75% Notes due 2043	MHNC
Liberty Financial Companies	L	Maiden Holdings, Ltd.	MHLD*
Liberty Global plc	LBTYA	Main Street Capital Corporation	MAIN
Liberty Global plc	LBTYK	Main Street Capital Corporation 6.125% Senior Notes due 2023	MSCA
Liberty Property Trust	LPT	MainSource Financial Group, Inc.	MSFG*
Life Storage, Inc. Common Stock	LSI	Mallinckrodt public limited company Ordinary Shares, par val	MNK
Lincoln National Corp	LNC*	Malvern Bancorp, Inc.	MLVF*
Live Oak Bancshares, Inc.	LOB*	Manning & Napier, Inc.	MN*
LivePerson, Inc.	LPSN	Manulife Financial Corporation	MFC
Lloyds TSB Group ADS	LYG	Markel Corp	MKL
Lumos Networks Corp.	LMOS	MarketAxess Holdings, Inc.	MKTX*
M D C Holdings Inc.	MDC	Marlin Business Services	MRLN
M&T Bank Corporation	MTB*	Marriott International	MAR
M/I Homes, Inc.	MHO	Marriott Vacations Worldwide Corporation Common Stock par va	VAC
MACOM Technology Solutions Holdings, Inc.	MTSI	Marsh & McLennan Companies, Inc.	MMC*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Mastercard Inc.	MA*	Monmouth Real Estate Investment Corp.	MNR
Matson, Inc. Common Stock	MATX	Monogram Residential Trust, Inc. Common Stock	MORE
MedEquities Realty Trust, Inc. Common Stock	MRT	Monroe Capital Corp.	MRCC
Medallion Financial Corp.	MFIN	Monsanto Company Common Stock	MON
Medical Properties Trust, Inc	MPW	Moody's Corporation	MCO
Medley Capital Corporation	MCC*	Morgan Stanley	MS*
Medley Capital Corporation 6.125% Senior Notes due 2023	MCV	Morningstar, Inc.	MORN
Medley Capital Corporation 6.50% Notes due 2021	MCX	Morton's Restaurant Group, Inc. Common Stock	MRT
Medley Management Inc.	MDLY*	MutualFirst Financial, Inc.	MFSF*
Medtronic plc Ordinary Shares	MDT	Mylan N.V.	MYL
Melco Resorts & Entertainment Limited	MLCO	NBT Bancorp Inc	NBTB*
Melrose Bancorp, Inc.	MELR	NCR Corporation Common Stock	NCR
Mercantile Bank Corporation	MBWM*	NI Holdings, Inc.	NODK
Mercury General Corporation	MCY	NMI Holdings, Inc.	NMIH*
Meridian Bancorp, Inc.	EBSB*	NOBAO RENEWABLE ENRGY HLDG LTD SPONSORED ADR : NRE	NRE
Meritage Homes Corporation	MTH*	NVR	NVR
MetLife, Inc.	MET*	NXP Semiconductors N.V.	NXPI
Meta Financial Group, Inc.	CASH*	Nasdaq, Inc.	NDAQ*
Mid Penn Bancorp	MPB	National Bank Holdings Corporation	NBHC*
Mid-America Apartment Communities, Inc.	MAA	National Bankshares, Inc.	NKSH
MidSouth Bancorp, Inc	MSL*	National Commerce Corporation	NCOM*
MidWestOne Financial Group, Inc.	MOFG*	National General Holdings Corp.	NGHC*
Middlefield Banc Corp	MBCN*	National Health Investors, Inc.	NHI
Midland States Bancorp, Inc.	MSBI*	National Holdings Corporation	NHLD
Mitel Networks Corporation	MITL	National Retail Properties	NNN
Mitsubishi UFJ Financial Group ADS	MTU	National Western Life	NWLI
Mizuho Financial Group ADS	MFG	Nationstar Mortgage Holdings, Inc.	NSM*
Mobileye N.V. Ordinary Shares, nominal value â–0.01	MBLY	Navient Corp	NAVI*
Moelis & Company	MC*	Nelnet, Inc.	NNI
Moneygram International, Inc.	MGI	NeuStar, Inc. Class A Common Stock	NSR



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
New Home Co. Inc.	NWHM	OM Asset Management plc	OMAM*
New Mountain Finance Corporation	NMFC*	ONEOK Partners, L.P. Common Units Representing Limited Partn	OKS
New Residential Investment Corp.	NRZ*	ONEOK, Inc. Common Stock	OKE
New York Community Bancorp, Inc.	NYCB*	Oaktree Capital Group, LLC	OAK*
New York Mortgage Trust, Inc.	NYMT*	OceanFirst Financial Corp.	OCFC*
New York Mortgage Trust, Inc.	NYMTP	Och-Ziff Capital Management Group LLC	OZM*
New York REIT, Inc. Common Stock	NYRT	Oconee Federal Financial Corp. (MHC)	OFED
NewStar Financial, Inc.	NEWS*	Ocwen Financial Corporation	OCN*
Newmont Mining Corporation Common Stock	NEM	Office Depot, Inc.	ODP
Newtek Business Services Corp.	NEWT*	Ohio Valley Banc Corp.	OVBC
Newtek Business Services Corp.	NEWTL	Old Line Bancshares, Inc.	OLBK*
Nexstar Media Group, Inc.	NXST	Old National Bancorp	ONB*
Nicholas Financial Inc	NICK	Old Point Financial Corporation	OPOF
Nicolet Bancshares, Inc.	NCBS	Old Republic International Corporation	ORI
Nomura Holdings ADS	NMR	Old Second Bancorp, Inc.	OSBC*
Nord Anglia Education, Inc. Ordinary shares	NORD	Omega Healthcare Investors	OHI
Norfolk Southern Corporation Common Stock	NSC	On Deck Capital, Inc.	ONDK*
NorthStar Realty Europe Corp. Common Stock	NRE	One Liberty Properties Inc.	OLP
Northeast Bancorp	NBN	OneBeacon Insurance Group, Ltd.	OB
Northern Trust Corp	NTRS*	OneMain Holdings, Inc.	OMF*
Northfield Bancorp, Inc.	NFBK*	Oppenheimer Holding Inc.	OPY
Northrim BanCorp Inc	NRIM	Opus Bank	OPB*
Northwest Bancshares, Inc.	NWBI*	Oritani Financial Corp	ORIT*
Norwood Financial Corp.	NWFL	Orrstown Financial Services, Inc.	ORRF*
Novartis AG ADS	NVS	Ottawa Bancorp, Inc.	OTTW
Novelion Therapeutics Inc.	NVLN	Owens Realty Mortgage Inc. Common stock	ORM
OFG Bancorp	OFG*	Oxford Lane Capital Corp.	OXLC
OFG Bancorp 7.125% Noncumulative Monthly Income Preferred St	OFG-A	PAREXEL International Corporation	PRXL
OFS Capital Corporation	OFS	PCSB Financial Corporation	PCSB
OHA Investment Corporation	OHAI	PHH Corporation	PHH*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
PICO Holdings, Inc.	PICO	Pennsylvania Real Estate Investment Trust	PEI
PJT Partners Inc. Class A Common stock	PJT	PennyMac Financial Services	PFSI*
POWERSHARES KBW Bank Portfolio (ETF)	KBWB	PennyMac Mortgage Investment Trust	PMT*
POWERSHARES KBW High Dividend Yield Financial Portfolio (ETF)	KBWD	People's United Financial, Inc	PBCT*
POWERSHARES KBW Premium Yield Equity REIT Portfolio (ETF)	KBWY	People's Utah Bancorp	PUB
POWERSHARES KBW Property & Casualty Insurance Portfolio (ETF)	KBWP	Peoples Bancorp Inc.	PEBO*
POWERSHARES KBW Regional Banking Portfolio (ETF)	KBWR	Peoples Bancorp of N.C. Inc.	PEBK
PRA Group, Inc.	PRAA*	Peoples Financial Corporation	PFBX
PS Business Parks Inc.	PSB	Petroleo Brasileiro S.A.-Petrobras ADS	PBR
PacWest Bancorp	PACW*	Pfs Bancorp Inc.	PBNC
Pacific Continental Corp	PCBK*	Piedmont Office Realty Trust, Inc	PDM
Pacific Mercantile Bancorp	PMBC*	Pinnacle Financial Partners, Inc.	PNFP*
Pacific Premier Bancorp Inc	PPBI*	Piper Jaffray Companies	PJC*
Pan American Silver Corp.	PAAS	Plumas Bank	PLBC
Panera Bread Company	PNRA	Poage Bankshares, Inc.	PBSK
Paramount Group, Inc. Common Stock	PGRE	Popular, Inc.	BPOP*
Park National Corporation	PRK*	Porter Bancorp, Inc.	PBIB
Park Sterling Corporation	PSTB*	Potash Corporation of Saskatchewan Inc. Common Shares	POT
Parkway Properties, Inc	PKY	Potlatch Corp	PCH
Patheon N.V. Ordinary shares	PTHN	Power REIT 7.75% Series A Cumulative Redeemable Perpetual Pr	PW-A
Pathfinder Bancorp, Inc. (MHC)	PBHC	Preferred Bank	PFBC
Patriot National Bank	PNBK	Premier Financial Bancorp, Inc.	PFBI
Patriot National, Inc. Common Stock, par value \$0.001 per sh	PN	Primerica, Inc.	PRI*
PayPal Holdings, Inc.	PYPL*	Principal Financial Group, Inc.	PFGB*
Peapack-Gladstone Financial Corporation	PGC	ProAssurance Corporation	PRA*
Pebblebrook Hotel Trust	PEB	ProLogis	PLD
PennTex Midstream Partners LP	PTXP	ProShares Short KBW Regional Banking	KRS
PennantPark Floating Rate Capital Ltd.	PFLT*	ProShares Ultra KBW Regional Banking	KRU
PennantPark Investment Corp	PNNT*	Progressive Corporation	PGR*
Penns Woods Bancorp, Inc.	PWOD	Proshares UltraShort Real Estate	SRS



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Prospect Capital Corporation	PSEC	Redwood Trust, Inc.	RWT*
Prosperity Bancshares, Inc.	PB*	Regency Centers Corporation	REG
Provident Financial Holdings Inc	PROV*	Regional Management Corp.	RM*
Provident Financial Services, Inc.	PFS*	Regions Financial Corporation	RF*
Prudential Bancorp, Inc. of Pennsylvania (MHC)	PBIP	Reinsurance Group of America, Inc.	RGAA*
Prudential Financial, Inc.	PRU*	RenaissanceRe Holdings Ltd.	RNR*
Prudential PLC ADS	PUK	RenaissanceRe Holdings Ltd. 5.375% Series E Preference Share	RNR-E
Public Storage (MD)	PSA	Renasant Corporation	RNST*
Pulte Group, Inc	PHM	Republic Bancorp, Inc.	RBCAA
Putnam Savings Bank	PBBI	Republic First Bancorp, Inc.	FRBK*
Pzena Investment Management	PZN	Resource Capital Corp.	RSO
QCR Holdings, Inc.	QCRH*	Retail Opportunity Investment Corp.	ROIC
Qiagen N.V.	QGEN	Retail Properties of America, Inc. Class A Common Stock	RPAI
Quintiles IMS Health Holdings, Inc.	Q	Rexford Industrial	REXR
RAIT Financial Trust	RAS	Reynolds American Inc. Common Stock	RAI
RE/MAX Holdings, Inc.	RMAX*	Rio Tinto plc American Depositary Receipts (Each representin	RIO
RELX PLC ADS	RELX	Rite Aid Corporation Common Stock	RAD
RLI Corp	RLI*	Riverview Bancorp, Inc.	RVSB
RLJ Lodging Trust	RLJ	Rockwell Collins, Inc. Common Stock	COL
Radian Group Inc.	RDN*	Royal Bancshares of Pennsylvania, Inc.	RBPA
Radius Health, Inc.	RDUS	Royal Bank of Canada	RY
Ramco-Gershenson Properties Trust	RPT	Royal Bank of Scotland Group PLC	RBS
Randolph Bancorp, Inc.	RNDB	Ryman Hospitality Properties, Inc. Common Stock	RHP
Raymond James Financial Inc.	RJF*	S&P Global, Inc.	SPGI
Rayonier Advanced Materials Inc. Common Stock, par value \$0.	RYAM	S&T Bancorp Inc	STBA*
Rayonier Inc.	RYN	SAP SE ADS	SAP
Realogy Holdings Corp	RLGY*	SB Financial Group, Inc.	SBFG
Realty Income Corp.	O	SB Financial Group, Inc.	SBFGP
Red Lion Hotels Inc	RLH	SEI Investments Company	SEIC*
Redding Bank of Commerce	BOCH	SI Financial Group, Inc.	SIFI



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
SL Green Realty Corporation	SLG	Shore Bancshares, Inc.	SHBI
SLM Corporation	SLM*	Sierra Bancorp	BSRR*
SPDR Financial Sector ETF	XLF	Signature Bank	SBNY*
SPDR Homebuilders Sector ETF	XHB	Simmons First National Corporation	SFNC*
SPDR S&P Bank ETF	KBE	Simon Property Group	SPG
SPDR S&P Capital Markets ETF	KCE	Sinclair Broadcast Group, Inc.	SBGI
SPDR S&P Insurance ETF	KIE	Snyder's-Lance, Inc.	LNCE
SPDR S&P Regional Banking ETF	KRE	Solar Capital Ltd.	SLRC*
STAG Industrial	STAG	Solar Senior Capital Ltd	SUNS
SVB Financial Group	SIVB*	Sonus Networks, Inc.	SONS
SWIFT TRANSPORTATION CO., INC. Class A Common Stock	SWFT	Sony Corporation ADS	SNE
Sabra Health Care REIT	SBRA	Sound Financial Bancorp, Inc.	SFBC
Safeguard Scientifics, Inc	SFE*	South State Corporation	SSB*
Safety Insurance Group, Inc.	SAFT	Southern First Bancshares, Inc.	SFST*
Salisbury Bancorp, Inc.	SAL	Southern Missouri Bancorp	SMBC
Sandy Spring Bancorp, Inc.	SASR*	Southern National Bancorp of Virginia, Inc.	SONA
Santander Consumer USA Holdings Inc.	SC*	Southside Bancshares, Inc.	SBSI*
Saul Centers	BFS	Southwest Bancorp, Inc.	OKSB*
SeaWorld Entertainment, Inc. Common Stock, \$0.01 par value	SEAS	Southwest GA Financial Corp	SGB
Seacoast Banking Corp of Florida	SBCF*	Spirit Realty Capital	SRC
Sears Holdings Corporation	SHLD	Sprint Corporation Common Stock	S
Select Bancorp, Inc.	SLCT	Square, Inc.	SQ*
Select Income REIT	SIR	St. Joe Company	JOE
Selective Insurance Group, Inc	SIGI*	Staples, Inc.	SPLS
SemGroup Corporation Class A Common stock, par value \$0.01 p	SEMG	Starwood Property Trust, Inc.	STWD*
Senior Housing Properties Trust	SNH	State Auto Financial Corp.	STFC*
ServisFirst Bancshares, Inc.	SFBS	State Bank Financial Corporation	STBZ*
Severn Bancorp, Inc.	SVBI	State National Companies, Inc.	SNC
Shinhan Financial ADS	SHG	State Street Corporation	STT*
Shire plc	SHPG	Stellus Capital Investment Corporation	SCM*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Sterling Bancorp	STL*	TCP Capital Corp.	TCPC*
Stewardship Financial Corporation	SSFN	TD Ameritrade Holding Corp.	AMTD*
Stewart Information Services Corporation	STC*	TFS Financial Corporation	TFSL*
Stock Yards Bancorp, Inc.	SYBT*	THL Credit, Inc.	TCRD*
StoneCastle Financial Corp.	BANX*	THL Credit, Inc. 6.75% Notes due 2022	TCRZ
Stonegate Bank	SGBK*	TICC Capital Corp.	TICC
Store Capital Corp.	STOR	TIER REIT, Inc. Common Stock	TIER
Straight Path Communications Inc. Class B common stock	STRP	TPG Specialty Lending, Inc.	TSLX
Sumitomo Mitsui Financial Group	SMFG	TRI Pointe Group, Inc.	TPH
Summit Financial Group, Inc.	SMMF	Taiwan Semiconductor Manufacturing Company Ltd. ADS	TSM
Summit Hotel Properties, Inc.	INN	Tanger Factory Outlet Centers Inc.	SKT
Summit State Bank	SSBI	Taubman Centers	TCO
Sun Bancorp, Inc.	SNBC*	Taylor Morrison, Inc.	TMHC
Sun Communities	SUI	Terex Corporation Common Stock	TEX
Sun Life Financial Services	SLF	TerraForm Global, Inc.	GLBL
SunCoke Energy Partners, L.P. Common units representing limi	SXCP	Terreno Realty Corp	TRNO
SunCoke Energy, Inc. Common Stock	SXC	Territorial Bancorp Inc	TBNK*
SunTrust Banks Inc	STI*	Tesla, Inc.	TSLA
SunTrust Banks, Inc. Warrants (expiring November 14, 2018)	STI+B	Tesoro Corporation Common Stock	TSO
Sunshine Bancorp, Inc.	SBCP	Texas Capital Bancshares, Inc.	TCBI*
Sunstone Hotel Investors	SHO	Textainer Group Holdings Limited	TGH*
Sussex Bancorp	SBBX*	The Allstate Corporation	ALL*
Synchrony Financial	SYF*	The Bancorp Bank	TBBK
Syneron Medical Ltd.	ELOS	The Bank of New York Mellon Corporation	BK*
Synovus Financial Corp	SNV*	The Blackstone Group L.P.	BX*
Synovus Financial Corp. 7.875% Series C Fixed-to-Floating Ra	SNV-C	The Carlyle Group L.P.	CG*
T-Mobile US, Inc.	TMUS	The Charles Schwab Corporation	SCHW*
T. Rowe Price Group Inc	TROW*	The Community Financial Corporation	TCFC*
TCF Financial Corporation	TCF*	The Dow Chemical Company Common Stock	DOW
TCG BDC, Inc.	CGBD	The First Bancshares, Inc.	FBMS*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
The First of Long Island Corporation	FLIC*	Triangle Capital Corporation 6.375% Senior Notes due 2022	TCCA
The GEO Group, Inc. Common Stock, par value \$0.01 per share	GEO	Tribune Media Company Class A Common Stock	TRCO
The Goldman Sachs Group, Inc.	GS*	TriplePoint Venture Growth BDC Corp. 6.75% Notes due 2020	TPVZ
The Hanover Insurance Group, Inc.	THG*	Triplepoint Venture Growth BDC Corp.	TPVG
The Hanover Insurance Group, Inc. 6.35% Subordinated Debentu	THGA	Triumph Bancorp, Inc.	TBK*
The Hartford Financial Services Group, Inc.	HIG*	Trustco Bank Corp NY	TRST
The Hartford Financial Services Group, Inc. Warrant Expiring	HIG+	Trustmark Corporation	TRMK*
The Howard Hughes Corporation Common Stock	HHC	Two Harbors Investment Corp.	TWO*
The Navigators Group, Inc.	NAVG*	Two Rivers Bancorp	TRCB
The PNC Financial Services Group, Inc.	PNC*	U.S. Bancorp	USB*
The PNC Financial Services Group, Inc. Warrant (Expiring Dec	PNC+	U.S. Bancorp Depository Shares each representing a 1/1,000th	USB-O
The Provident Bank	PVBC	UBS AG	UBS
The Travelers Companies, Inc.	TRV*	UCP, Inc.	UCP
The Williams Companies, Inc. Common Stock	WMB	UDR, Inc.	UDR
Third Point Reinsurance Ltd	TPRE*	UMB Financial Corp	UMBF*
TiVo Corporation	TIVO	US Itau Unibanco Holding SA	ITUB
Timberland Bancorp, Inc.	TSBK	Umpqua Holdings Corporation	UMPQ*
Time Warner Inc. Common Stock	TWX	Union Bankshares Corporation	UBSH*
Toll Brothers, Inc.	TOL*	United Bancshares, Inc.	UBOH
Tompkins Financial Corporation	TMP*	United Bankshares Inc	UBSI*
Torchmark Corp	TMK*	United Community Bancorp	UCBA
Toronto Dominion Bank	TD	United Community Banks, Inc.	UCBI*
Total S.A. ADS	TOT	United Community Financial Corp.	UCFC*
Total System Services, Inc.	TSS*	United Financial Bancorp, Inc.	UBNK*
TowneBank	TOWN*	United Fire Group Inc	UFCS
TransUnion Corporation	TRU	United Insurance Holdings Corp.	UIHC*
Transocean Ltd. Registered Shares	RIG	United Security Bancshares	UBFO
TriCo Bancshares	TCBK*	UnitedHealth Group Incorporated Common Stock	UNH
TriState Capital Holdings, Inc.	TSC*	Unity Bank	UNTY
Triangle Capital Corporation	TCAP*	Universal Health Realty Income Trust	UHT



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Universal Insurance Holdings, Inc.	UVE*	Vornado Realty Trust	VNO
Univest Corporation of Pennsylvania	UVSP*	W.R. Berkley Corp	WRB*
Unum Group	UNM*	WEX, Inc.	WEX*
Urban Edge Properties Common Shares	UE	WP Carey & Co. LLC	WPC
Urstadt Biddle Properties	UBA	WP Glimcher, Inc.	WPG
Urstadt Biddle Properties Inc.	UBP	WPP plc	WPPGY
VCA Inc.	WOOF	WSFS Financial Corp	WSFS*
VEREIT, Inc.	VER	WSFS Financial Corporation	WSFSL
VOYA Financial, Inc.	VOYA*	WVS Financial Corporation	WVFC
VWR Corporation	VWR	Waddell & Reed Financial, Inc.	WDR*
Vail Resorts, Inc.	MTN	Walker & Dunlop, Inc.	WD*
Vale S.A. ADS	VALE	Walter Investment Management Corp.	WAC*
Valeant Pharmaceuticals International, Inc. Common Stock	VRX	Washington Federal, Inc.	WAFDW
Validus Holdings Ltd.	VR*	Washington Federal, Inc.	WAFD*
Valley National Bancorp	VLV*	Washington Real Estate Investment Trust	WRE
Vanguard REIT ETF	VNQ	Washington Trust Bancorp, Inc.	WASH*
Vantiv, Inc.	VNTV*	WashingtonFirst Bankshares, Inc.	WFBI*
Veeco Instruments Inc.	VECO	Waterstone Financial, Inc.	WSBF
Ventas Inc	VTR	Wayne Savings Bancshares, Inc.	WAYN
VeriFone Systems, Inc.	PAY	Webster City Federal Bancorp (MHC)	WCFB
Verisk Analytics, Inc.	VRSK*	Webster Financial Corp	WBS*
Veritex Holdings, Inc.	VBTX	Weingarten Realty Investors	WRI
Verizon Communications Inc. Common Stock	VZ	Wellesley Bancorp, Inc.	WEBK
Videocon d2h Limited	VDTH	Wells Fargo & Company	WFC*
Village Bank and Trust Financial Corp.	VBFC	Wells Fargo & Company Warrants (Expiring October 28, 2018)	WFC+
Virtu Financial, Inc	VIRT	Welltower Inc.	HCN
Virtus Investment Partners	VRTS	WesBanco Inc	WSBC*
Visa, Inc.	V*	West Bancorporation, Inc.	WTBA
Vistra Energy Corp. Common stock, par value \$0.01 per share	VST	West Corporation	WSTC
Vodafone Group PLC	VOD	Westamerica Bancorp	WABC*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Westar Energy, Inc. Common Stock	WR	Zillow Group, Inc.	Z
Westbury Bancorp	WBB	Zions Bancorporation	ZION*
Western Alliance Bancorporation	WAL*	Zions Bancorporation	ZIONW
Western Asset Mortgage Capital Corporation	WMC	Zions Bancorporation	ZIONZ
Western Digital Corporation	WDC	Zions Bancorporation Depository Shares, Each Representing 1 /	ZB-H
Western New England Bancorp Inc.	WNEB*	Zions Bancorporation Depository Shs (1 / 40th Interest in a Sh	ZB-A
Western Union	WU	eBay Inc.	EBAY
Westlake Chemical Corporation Common Stock	WLK	eHealth, Inc.	EHTH
Westwood Holdings Group	WHG	iStar Inc.	STAR*
Weyerhaeuser Company	WY	tronc, Inc.	TRNC
Whitehorse Finance, Inc.	WHF*		
Whitestone REIT Common Shares	WSR		
Whole Foods Market, Inc.	WFM		
William Lyon Homes	WLH		
Williams Partners L.P. Common Units Representing Limited Par	WPZ		
Willis Lease Finance Corporation	WLFC		
Willis Towers Watson Public Limited Company	WLTW*		
Windstream Corporation	WIN		
Wintrust Financial Corporation	WTFC*		
WisdomTree Investments, Inc.	WETF*		
Wolverine Bancorp, Inc.	WBKC		
Woori Finance Holdings Co., Ltd.	WF		
World Acceptance	WRLD		
Wright Medical Group N.V.	WMGI		
Wyndham Worldwide Corporation	WYN		
XL Group Ltd.	XL*		
Xcerra Corporation	XCRA		
Xenia Hotels & Resorts, Inc. Common Stock	XHR		
Xenith Bankshares, Inc.	XBKS*		
Zhaopin Limited American depository shares, each representin	ZPIN		