



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
1st Constitution Bancorp	FCCY	AmTrust Financial Services, Inc.	AFSI*
1st Source Corporation	SRCE*	AmTrust Financial Services, Inc. 6.75% Non-Cumulative Prefer	AFSI-A
A. Schulman, Inc.	SHLM	Ambac Financial Group, Inc.	AMBC
ACNB Corporation	ACNB	American Assets Trust, Inc.	AAT
AEGON NV	AEG	American Axle & Manufacturing Holdings, Inc. Common Stock	AXL
AG Mortgage Investment Trust, Inc.	MITT*	American Campus Communities, Inc.	ACC
AGNC Investment Corp.	AGNC*	American Capital Senior Floating, Ltd.	ACSF
AON plc	AON*	American Equity Investment Life Holding Co.	AEL*
AT&T, Inc.	T	American Express Company	AXP*
Abbott Laboratories Common Stock	ABT	American Financial Group Inc.	AFG
Acadia Realty Trust	AKR	American Homes 4 Rent	AMH*
Access National Corporation	ANCX*	American International Group, Inc.	AIG*
AerCap Holdings N.V.	AER	American International Group, Inc. Warrants (expiring Januar	AIG+
Aetna Inc	AET	American National Bankshares, Inc.	AMNB*
Affiliated Managers Group, Inc.	AMG*	American National Insurance Co	ANAT
Aflac Inc	AFL*	American River Bankshares	AMRB
Agree Realty Corporation	ADC	American Tower Corporation Common Stock	AMT
Air Lease Corporation	AL	Ameriprise Financial, Inc.	AMP*
Aircastle Limited	AYR	Ameris Bancorp	ABCB*
Alcentra Capital Corporation	ABDC*	Amerisafe, Inc.	AMSF*
Alexander & Baldwin, Inc.	ALEX	Ameriserv Financial, Inc.	ASRV
Alexandria Real Estate Equities, Inc.	ARE	Analog Devices, Inc.	ADI
Alleghany Corporation	Y	Analogic Corporation	ALOG
Allegiance Bancshares, Inc.	ABTX*	Anchor Bancorp	ANCB*
Allergan plc Ordinary Shares	AGN	Andeavor Common Stock	ANDV
Alliance Data Systems Corp.	ADS*	Andeavor Logistics LP Common Units representing Limited Part	ANDX
AllianceBernstein Holding L.P. (US)	AB*	Annaly Capital Management, Inc.	NLY*
Ally Financial Inc.	ALLY*	Anthem	ANTM
Altaba Inc.	AABA	Anworth Mortgage Asset Corporation	ANH
Altisource Portfolio Solutions S.A.	ASPS	Apartment Investment & Management Co.	AIV



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Apollo Commercial Real Estate Finance, Inc.	ARI*	Atlantic Coast Bank	ACFC
Apollo Global Management, LLC	APO*	Atlanticus Holding Corporation	ATLC
Apollo Investment Corp.	AINV*	Atlas Financial Holdings, Inc.	AFH
Apple Hospitality REIT, Inc. Common shares, no par value per	APLE	Auburn National Bancorporation	AUBN
Arbor Realty Trust, Inc.	ABR*	Avalon Bay Communities	AVB
Arch Capital Group Ltd.	ACGL*	Avista Corporation Common Stock	AVA
Archrock Partners, L.P.	APLP	Avista Healthcare Public Acquisition Corp.	AHPA
Archrock, Inc. Common Stock	AROC	Avista Healthcare Public Acquisition Corp.	AHPAW
Ares Capital Corporation	ARCC*	Avista Healthcare Public Acquisition Corp.	AHPAU
Ares Commercial Real Estate Corporation	ACRE*	Axalta Coating Systems Ltd. Common shares, \$1.00 par value p	AXTA
Ares Dynamic Credit Allocation Fund, Inc. Common Shares	ARDC	Axis Capital Holdings Limited	AXS*
Ares Management, L.P.	ARES*	B. Riley Financial, Inc.	RILY
Argo Group International Holdings, Ltd.	AGII*	BB&T Corporation	BBT*
Arlington Asset Investment	AI	BB&T Corporation Depository Shares each representing 1/1,000	BBT-G
Armada Hoffler	AHH	BCB Bancorp, Inc.	BCBP*
Armour Residential REIT, Inc.	ARR	BGC Partners, Inc.	BGCP
Arrow Financial Corporation	AROW	BHP Billiton Limited ADS	BHP
Arthur J. Gallagher & Co.	AJG*	BOK Financial Corporation	BOKF*
Artisan Partners Asset Management Inc.	APAM*	BP p.l.c. ADS	BP
Ashford Hospitality Prime, Inc. Common Stock	AHP	BSB Bancorp Inc	BLMT
Ashford Hospitality TR, Inc.	AHT	Baldwin & Lyons, Inc.	BWINB
Aspen Insurance Holdings Limited	AHL	BanColombia SA ADS	CIB
Associated Banc-Corp	ASB*	Banc of California, Inc.	BANC*
Assurant, Inc	AIZ	Banc of California, Inc. Depository Shares, each representin	BANC-C
Assured Guaranty Ltd.	AGO*	BancFirst Corporation	BANF*
Asta Funding, Inc.	ASFI	Banco Bilbao Vizcaya Argentaria	BBVA
Athene Holding Ltd.	ATH*	Banco Bradesco S A NEW	BBD
Atlantic Acquisition Corp.	ATAC	Banco Latinoamericano de Comercio Exterior, S.A. Class E Com	BLX
Atlantic Acquisition Corp.	ATACU	Banco Santander (Mexico), S.A. Institucion de Banca Multiple	BSMX
Atlantic Capital Bancshares, Inc.	ACBI*	Banco Santander ADS	SAN



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Banco Santander Brasil S A	BSBR	Berkshire Hills Bancorp, Inc	BHLB*
Banco Santander-Chile ADS	BSAC	Berry Global Group, Inc. Common Stock	BERY
Bancorp 34, Inc.	BCTF	Big Rock Partners Acquisition Corp.	BRPA
Bancorp of New Jersey Inc. Common Stock	BKJ	Big Rock Partners Acquisition Corp.	BRPAW
BancorpSouth Bank	BXS*	Big Rock Partners Acquisition Corp.	BRPAU
Bank of America Corp.	BAC*	BioCryst Pharmaceuticals, Inc.	BCRX
Bank of America Corporation Warrants (expiring January 16, 2	BAC+A	BioTelemetry, Inc.	BEAT
Bank of America Corporation Warrants (expiring October 28, 2	BAC+B	Black Knight, Inc.	BKI*
Bank of Hawaii Corp.	BOH*	Black Ridge Acquisition Corp.	BRAC
Bank of Marin Bancorp	BMRC*	Black Ridge Acquisition Corp.	BRACW
Bank of Montreal	BMO	Black Ridge Acquisition Corp.	BRACU
Bank of N.T. Butterfield & Son Ltd.	NTB*	BlackBerry Limited Common Shares, without par value	BB
Bank of Nova Scotia	BNS	BlackRock Capital Investment Corporation	BKCC
Bank of South Carolina Corp.	BKSC	BlackRock Inc	BLK*
Bank of the James Financial Group, Inc.	BOTJ	Blackhawk Network Holdings, Inc.	HAWK
Bank of the Ozarks	OZRK*	Blackstone Mortgage Trust, Inc.	BXMT*
BankAtlantic Bancorp, Class A	BBX	Blue Buffalo Pet Products, Inc.	BUFF
BankUnited, Inc.	BKU*	Blue Capital Reinsurance Holdings, Ltd.	BCRH*
Bankfinancial	BFIN	Blue Hills Bancorp, Inc.	BHBK*
Bankwell Financial Group, Inc.	BWFG*	Bofl Holding, Inc.	BOFI*
Banner Corporation	BANR*	Boston Private Financial Holdings, Inc.	BPFHP
Bar Harbor Bankshares	BHB	Boston Private Financial Holdings, Inc.	BPFH*
Barclays Capital, Inc	BCS	Boston Properties	BXP
Barnes & Noble, Inc. Common Stock	BKS	Brandywine Realty Trust	BDN
Barrick Gold Corporation Common Shares	ABX	Bridge Bancorp, Inc	BDGE*
Bear State Financial Inc	BSF	Bridgewater Bancshares, Inc.	BWB
Beazer Homes U S A Inc.	BZH	BrightSphere Investment Group plc	BSIG*
Belmond Ltd. Class A Common Shares	BEL	Brighthouse Financial, Inc.	BHF*
Beneficial Bancorp, Inc	BNCL*	Brixmor Property Group	BRX
Berkshire Hathaway Inc. Class B Common Stock	BRK.B	Broadcom Inc.	AVGO



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COMPANY	SYMBOL	COMPANY	SYMBOL
Broadridge Financial Solutions, Inc.	BR	Cadence Bancorporation	CADE*
Broadway Financial Corporation	BYFC	Caesars Entertainment Corporation	CZR
Brookdale Senior Living	BKD	Cambridge Bancorp	CATC
Brookfield Asset Management Inc.	BAM*	Camden National Corp	CAC*
Brookfield Property Partners L.P.	BPY	Camden Property Trust	CPT
Brookline Bancorp, Inc.	BRKL*	Canadian Imperial Bank of Commerce	CM
Brown & Brown, Inc.	BRO*	Canadian Pacific Railway Limited Common Shares	CP
Bryn Mawr Bank Corporation	BMTC*	Cannae Holdings, Inc.	CNNE*
Business First Bancshares, Inc.	BFST	CapStar Financial Holdings, Inc.	CSTR*
Byline Bancorp, Inc.	BY*	Capella Education Company	CPLA
C&F Financial Corporation	CFFI	Capital City Bank Group, Inc.	CCBG*
CAI International Inc.	CAI*	Capital One Financial Corp	COF*
CB Financial Services, Inc.	CBFV*	Capital One Financial Corporation Warrants (expiring Novembe	COF+
CBL & Associates Properties	CBL	Capital Southwest	CSWC
CBRE Group Inc.	CBRE*	Capitala Finance Corp.	CPTA*
CBS Corporation Class B Common Stock	CBS	Capitol Federal Financial, Inc.	CFFN*
CBTX, Inc.	CBTX*	Capitol Investment Corp. IV Units, each consisting of one CI	CIC.U
CIGNA	CI	Capstead Mortgage Corp.	CMO*
CIT Group Inc.	CIT*	Capstead Mortgage Corporation 7.50% Series E Cumulative Rede	CMO-E
CM Finance Inc.	CMFN*	Cardtronics, Inc.	CATM
CM Seven Star Acquisition Corporation	CMSS	Carolina Financial Corporation	CARO*
CM Seven Star Acquisition Corporation	CMSSW	Carolina Trust Bank	CART
CM Seven Star Acquisition Corporation	CMSSU	Carver Bancorp Inc	CARV
CME Group, Inc.	CME*	Cathay General Bancorp	CATY*
CNA Financial Corporation	CNA*	Cavium, Inc.	CAVM
CNB Financial Corp.	CCNE*	Cboe Global Markets, Inc.	CBOE*
CNO Financial Group, Inc.	CNO*	Cedar Shopping Centers, Inc	CDR
CVB Financial Corp	CVBF*	Cemex, S.A.B. de C.V. ADS	CX
CVS Health Corporation Common Stock	CVS	Genovus Energy Inc. Common Shares	CVE
CYS Investments Inc	CYS*	CenterState Bank Corporation	CSFL*



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Central European Media Enterprises Ltd.	CETV	Citizens Holding Company	CIZN
Central Federal Corporation	CFBK	City Holding Company	CHCO*
Central Pacific Financial Corp.	CPF*	City Office REIT, Inc. Common Stock, \$0.01 par value per sha	CIO
Central Valley Community Bancorp	CVCY*	Civista Bancshares, Inc.	CIVBP
Century Bancorp, Inc.	CNBKA	Civista Bancshares, Inc.	CIVB*
Century Communities, Inc.	CCS	CoBiz Financial Inc.	COBZ*
CenturyLink	CTL	Coastway Bancorp, Inc.	CWAY
Charter Financial Corporation	CHFN*	Codorus Valley Bancorp, Inc.	CVLY
Chatham Lodging Trust	CLDT	Cohen & Steers Inc	CNS*
Chemical Financial Corp	CHFC*	Colgate-Palmolive Company Common Stock	CL
Chemung Financial Corporation	CHMG	Colony Bankcorp, Inc.	CBAN
Cherry Hill Mortgage Investment Corporation	CHMI	Colony NorthStar Credit Real Estate, Inc. Class A Common Sto	CLNC
Chesapeake Lodging Trust	CHSP	Colony NorthStar, Inc.	CLNS*
Chicago Bridge & Iron Company N.V. Common Shares	CBI	Columbia Banking System, Inc.	COLB*
Chimera Investment Corp.	CIM*	Comcast Corporation	CMCSA
China Life Insurance ADS	LFC	Comerica Inc	CMA*
China Mobile Limited ADS	CHL	Comerica Incorporated Warrants (expiring November 14, 2018)	CMA+
Christopher & Banks Corporation Common Stock	CBK	Commerce Bancshares Inc	CBSH*
Chubb Limited	CB*	Commercial Bankshares Inc.	CLBK
Cincinnati Bell Inc. Common Shares	CBB	Community Bank System, Inc	CBU*
Cincinnati Financial Corp	CINF*	Community Bankers Trust Corporation	ESXB*
Cisco Systems, Inc.	CSCO	Community First Bancshares, Inc.	CFBI
Citigroup Inc.	C*	Community Healthcare Trust Incorporated Common Stock, \$0.01	CHCT
Citigroup Inc. Depositary Shs, a 1/1,000th Interest in a Sha	C-K	Community Trust Bancorp, Inc.	CTBI
Citigroup Inc. Depositary Shs, a 1/1000th Interest in a Shar	C-J	Community West Bancshares	CWBC
Citigroup Inc. Warrants (expiring January 4, 2019)	C+A	Compass Diversified Holdings	CODI
Citizens & Northern Corporation	CZNC	Concho Resources Inc. Common Stock	CXO
Citizens Community Bancorp	CZWI	Conn's, Inc.	CONN
Citizens Financial Group Inc.	CFG*	ConnectOne Bancorp Inc	CNOB*
Citizens First Corp.	CZFC	Connecticut Water Service, Inc.	CTWS



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COMPANY	SYMBOL	COMPANY	SYMBOL
Consolidated Communications Holdings, Inc.	CNSL	DiamondRock Hospitality Co.	DRH
Constellation Alpha Capital Corp.	CNAC	Digi International Inc.	DGII
Constellation Alpha Capital Corp.	CNACW	Digital Realty Trust	DLR
Constellation Alpha Capital Corp.	CNACU	Dime Community Bancshares, Inc.	DCOM*
Consumer Portfolio Services, Inc.	CPSS	Discover Financial Services	DFS*
CoreCivic, Inc. Common Stock	CXW	Discovery, Inc.	DISCA
CoreLogic, Inc.	CLGX*	Ditech Holding Corporation Common Stock, par value \$0.01 per	DHCP
CoreSite	COR	Domino's Pizza, Inc. Common Stock	DPZ
Corporate Capital Trust, Inc. Common Stock	CCT	Donegal Group Inc.	DGICA*
Corporate Office Properties Trust	OFC	Double Eagle Acquisition Corp.	EAGLU
County Bancorp, Inc.	ICBK	Douglas Emmett, Inc	DEI
Cousins Properties Inc	CUZ	Dr Pepper Snapple Group, Inc. Common Stock	DPS
Cowen Group, Inc.	COWN	Draper Oakwood Technology Acquisition, Inc.	DOTA
Crawford & Company	CRD.B	Draper Oakwood Technology Acquisition, Inc.	DOTAW
Credit Acceptance Corporation	CACC	Draper Oakwood Technology Acquisition, Inc.	DOTAU
Credit Suisse Group	CS	Drive Shack Inc. Common Stock	DS
CubeSmart	CUBE	Duke Realty Corp.	DRE
Cullen/Frost Bankers Inc	CFR*	Dynex Capital, Inc. 7.625% Series B Cumulative Redeemable Pr	DX-B
Customers Bancorp, Inc.	CUBI*	E*TRADE Financial Corporation	ETFC*
CyrusOne	CONE	EMC Insurance Group Inc.	EMCI*
D.R. Horton, Inc.	DHI*	ENI S.p.A. ADS	E
DCT Industrial Trust	DCT	EPR Properties	EPR
DDR Corp.	DDR	EQT Corporation	EQT
DFB Healthcare Acquisitions Corp.	DFBH	ESSA Bancorp Inc	ESSA
DFB Healthcare Acquisitions Corp.	DFBHW	Eagle Bancorp Montana, Inc.	EBMT
DFB Healthcare Acquisitions Corp.	DFBHU	Eagle Bancorp, Inc (MD)	EGBN*
DNB Financial Corp	DNBF	Eagle Financial Bancorp, Inc.	EFBI
Delek US Holdings, Inc. Common Stock	DK	Eagle Point Credit Company Inc.	ECC*
Dell Technologies Inc. Class V Common Stock	DVMT	East West Bancorp Inc	EWBC*
Deutsche Bank Securities	DB	Easterly Government Properties, Inc. Common Stock	DEA



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COMPANY	SYMBOL	COMPANY	SYMBOL
Eastgroup Properties	EGP	Evans Bancorp Inc New	EVBN
Eaton Corporation, plc Ordinary Shares, par value \$0.01 per	ETN	Evercore Partners Inc	EVR*
Eaton Vance Corp.	EV*	Everest Re Group, Ltd.	RE*
Ebix, Inc.	EBIX	Evertec, Inc.	EVTC
Education Realty Trust, Inc	EDR	Express Scripts Holding Company	ESRX
Eldorado Resorts, Inc.	ERI	Extended Stay America, Inc. (ESH)	STAY
Elevate Credit Inc.	ELVT	Extra Space Storage Inc.	EXR
Ellie Mae	ELLI	F.N.B. Corporation	FNB*
Ellington Financial LLC	EFC*	FB Financial	FBK*
Ellington Residential Mortgage REIT	EARN	FBL Financial Group, Inc.	FFG
Empire State Realty Trust, Inc. Class A Common Stock, \$0.01	ESRT	FCB Financial Holdings, Inc.	FCB*
Employers Holdings, Inc.	EIG	FFBW, Inc.	FFBW
EnCana Corporation Common Shares	ECA	FIAT CHRYSLER AUTOMOBILES N V SHS	FCAU
Encore Capital Group Inc	ECPG*	FLY Leasing Ltd	FLY
EnscO plc Class A Ordinary Share	ESV	FNB Bancorp	FNBG
Enstar Group Limited	ESGR	FS Bancorp	FSBW
Entegra Financial Corp.	ENFC	FS Investment Corporation	FSIC*
Enterprise Bancorp Inc.	EBTC	FSB Bancorp, Inc.	FSBC
Enterprise Financial Services Corp.	EFSC*	Factset Research Systems, Inc.	FDS
Equifax	EFX	Fair Isaac	FICO
Equitable Financial Corp	EQFN	Fanhua Inc.	FANH
Equity Bancshares, Inc.	EQBK*	Farmer Mac (Federal Agricultural Mtg Corp)	AGM*
Equity Commonwealth Common Shares of Beneficial Interest	EQC	Farmers & Merchants Bancorp, Inc.	FMAO
Equity LifeStyle Properties, Inc.	ELS	Farmers Capital Bank Corp	FFKT*
Equity Residential Properties Trust	EQR	Farmers National Banc Corp.	FMNB*
Erie Indemnity Company	ERIE	Farmland Partners	FPI
Esquire Financial Holdings, Inc.	ESQ	Fauquier Bankshares, Inc.	FBSS
Essent Group Ltd.	ESNT*	Federal Realty Investment Trust	FRT
Essex Property Trust Inc	ESS	Federal Street Acquisition Corp.	FSAC
Euronet Worldwide, Inc.	EEFT	Federal Street Acquisition Corp.	FSACW



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Federal Street Acquisition Corp.	FSACU	First Financial Bancorp	FFBC*
Federated Investors, Inc	FII*	First Financial Bancorp.	FFBCW
Federated National Holding Company	FNHC*	First Financial Bankshares, Inc.	FFIN*
Fidelity D&D Bancorp Inc.	FDBC	First Financial Corp.	THFF*
Fidelity National Financial, Inc	FNF*	First Financial Northwest, Inc.	FFNW*
Fidelity National Information Services, Inc.	FIS	First Foundation Inc.	FFWM
Fidelity Southern Corporation	LION*	First Hawaiian, Inc.	FHB*
Fidus Investment Corporation	FDUS*	First Horizon National Corp	FHN*
Fifth Third Bancorp	FITB*	First Industrial Realty Trust Inc	FR
FinTech Acquisition Corp. II	FNTE	First Internet Bancorp	INBK*
FinTech Acquisition Corp. II	FNTEW	First Interstate BancSystem, Inc.	FIBK*
FinTech Acquisition Corp. II	FNTEU	First Merchants Corporation	FRME*
Financial Engines Inc	FNGN	First Mid-Illinois Bancshares Inc.	FMBH
Financial Institutions, Inc	FISI*	First Midwest Bancorp Inc	FMBI*
First American Financial Corp.	FAF*	First Northwest Bancorp	FNWB
First BanCorp	FBP*	First Republic Bank	FRC*
First BanCorp	FBNC*	First Savings Financial Group, Inc	FSFG
First Bancorp, Inc.	FNLC	First US Bancshares, Inc.	FUSB
First Bank	FRBA	First United Corporation	FUNC
First Busey Corporation	BUSE*	Firsthand Technology Value Fund, Inc.	SVVC
First Business Financial Services, Inc	FBIZ*	Fiserv Inc	FISV
First Capital, Inc.	FCAP	Five Oaks Investment Corp.	OAKS
First Cash Financial Services, Inc.	FCFS	Five Oaks Investment Corp. Series A Cumulative Preferred Sto	OAKS-A
First Citizens BancShares, Inc.	FCNCA	Flagstar Bancorp, Inc.	FBC*
First Commonwealth Financial Corporation	FCF*	FleetCor Technologies, Inc.	FLT*
First Community Bancshares, Inc	FCBC	Flushing Financial Corporation	FFIC*
First Community Corporation	FCCO	Forest City Realty Trust, Inc. Class A Common Stock	FCE.A
First Connecticut Bancorp Inc.	FBNK*	Franklin Resources, Inc	BEN*
First Data Corp.	FDC*	Franklin Street Properties Corp	FSP
First Defiance Financial Corp	FDEF*	Front Yard Residential Corporation	RESI*



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Fulton Financial Corp.	FULT*	Gordon Pointe Acquisition Corp.	GPAQ
GAIN Capital Holdings, Inc.	GCAP*	Gordon Pointe Acquisition Corp.	GPAQW
GSV Capital Corp	GSVC	Gordon Pointe Acquisition Corp.	GPAQU
GTY Technology Holdings, Inc.	GTYH	Gores Holdings II, Inc.	GSHT
GTY Technology Holdings, Inc.	GTYHW	Gores Holdings II, Inc.	GSHTW
GTY Technology Holdings, Inc.	GTYHU	Gores Holdings II, Inc.	GSHTU
Gabelli Asset Management	GBL	Government Properties Income Trust	GOV
Gaming and Leisure Properties, Inc.	GLPI	Gramercy Property Trust Inc.	GPT
Garrison Capital Inc.	GARS	Granite Construction Incorporated Common Stock	GVA
Gartner, Inc. Common Stock \$.0005 Par Value	IT	Granite Point Mortgage Trust Inc.	GPMT*
Gener8 Maritime, Inc. Common Shares	GNRT	Great Ajax Corp. Common stock	AJX
General Cable Corporation Common Stock	BGC	Great Elm Capital Corp	GECC
General Electric Capital Corporation 4.70% Notes due May 16,	GEK	Great Plains Energy Incorporated Common Stock	GXP
General Electric Co	GE	Great Southern Bancorp, Inc.	GSBC*
General Growth Properties Inc	GGP	Great Western Bancorp, Inc.	GWB*
Genesis Healthcare, Inc. Class A Common Stock	GEN	Green Bancorp, Inc.	GNBC*
Genworth	GNW*	Green Dot Corporation	GDOT*
German American Bancorp, Inc.	GABC*	Greenhill & Co., Inc.	GHL*
Getty Realty Corp.	GTY	Greenlight Capital RE, Ltd.	GLRE
Glacier Bancorp, Inc.	GBCI*	Grifols, S.A.	GRFS
Gladstone Capital Corporation	GLAD	Guaranty Bancorp	GBNK*
Gladstone Companies	GAIN	Guaranty Bancshares, Inc.	GNTY
GlaxoSmithKline plc ADS	GSK	Guaranty Federal Bancshares	GFED
Glen Burnie Bancorp	GLBZ	H&R Block	HRB
Global Eagle Acquisition Corp.	EAGLU	HCI Group, Inc.	HCI*
Global Indemnity PLC	GBLI	HCP, Inc.	HCP
Global Payments, Inc.	GPN*	HDFC Bank Ltd. ADS	HDB
Goldcorp Inc. Common Shares	GG	HFF, Inc.	HF*
Goldman Sacks BDC	GSBD	HMN Financial Inc	HMNF
Golub Capital BDC, Inc.	GBDC*	HSBC Holdings PLC	HSBC



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HV Bancorp, Inc.	HVBC	Home BancShares, Inc.	HOMB*
Hallmark Financial Services, Inc.	HALL	Home Bancorp, Inc	HBCP
Hamilton Bancorp, Inc.	HBK	Home Federal Bancorp, Inc. of Louisiana	HFBL
Hamilton Lane Advisors LLC	HLNE*	HomeStreet, Inc.	HMST*
Hancock Holding Co	HBHC*	HomeTown Bankshares Corporation	HMTA
Hanmi Financial Corp	HAFC*	HomeTrust Bancshares, Inc.	HTBI*
Harbinger Group Inc.	HRG	HopFed Bancorp, Inc.	HFBC
HarborOne Bancorp, Inc.	HONE	Hope Bancorp, Inc.	HOPE*
Harvest Capital Credit Corporation	HCAP*	Horace Mann Educators Corporation	HMN*
Harvest Capital Credit Corporation	HCAPZ	Horizon Bancorp	HBNC*
Hawaiian Telcom Holdco, Inc.	HCOM	Horizon Pharma, Inc.	HZNP
Hawthorn Bancshares, Inc.	HWBK	Horizon Technology Finance Corporation	HRZN*
Haymaker Acquisition Corp.	HYAC	Hospitality Properties Trust	HPT
Haymaker Acquisition Corp.	HYACW	Host Hotels & Resorts Corporation	HST
Haymaker Acquisition Corp.	HYACU	Houlihan Lokey, Inc.	HLI*
Healthcare Realty Trust Inc	HR	Hovnanian Enterprises Inc.	HOV
Heartland Financial USA, Inc.	HRLF*	Hudson Pacific Properties, Inc.	HPP
Hecla Mining Company Common Stock	HL	Humana Inc	HUM
Hennessy Capital Acquisition Corp. III Common Stock par valu	HCAC	Hunter Maritime Acquisition Corp.	HUNT
Hennessy Capital Acquisition Corp. III Units each consisting	HCAC.U	Hunter Maritime Acquisition Corp.	HUNTW
Hercules Capital, Inc.	HTGC*	Hunter Maritime Acquisition Corp.	HUNTU
Hercules Capital, Inc. 6.25% Notes due 2024	HTGX	Huntington Bancshares Inc	HBAN*
Heritage Commerce Corp	HTBK*	Huntsman Corporation Common Stock	HUN
Heritage Financial Corp	HFWA*	Hyatt Corporation	H
Heritage Insurance Holdings, Inc.	HRTG*	ICC Holdings, Inc.	ICCH
Hersha Hospitality Trust	HT	IF Bancorp, Inc.	IROQ
Highwoods Properties Inc.	HIW	IHS Markit	INFO
Hilltop Holdings Inc.	HTH*	ING Groep NV	ING
Hilton Worldwide Holdings Inc. Common Stock	HLT	INTL FCStone Inc.	INTL
Hingham Institution for Savings	HIFS	IQVIA Holdings Inc. Common Stock	IQV



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Iberiabank Corporation	IBKC*	JMP Group Inc.	JMP*
Icici Bank	IBN	JPMorgan Chase & Co.	JPM*
Idera Pharmaceuticals, Inc.	IDRA	JPMorgan Chase & Co. Warrants (expiring October 28, 2018)	JPM+
Independent Bank Corp	INDB*	Jack Henry & Associates	JKHY
Independent Bank Corporation	IBCP*	Jacksonville Bancorp, Inc.	JXSB
Independent Bank Group, Inc.	IBTX*	James River Group Holdings, Ltd.	JRVR*
Industrea Acquisition Corp.	INDU	Janus Henderson Group Plc	JHG*
Industrea Acquisition Corp.	INDUW	Jensyn Acquisition Corp.	JSYN
Industrea Acquisition Corp.	INDUU	Jensyn Acquisition Corp.	JSYNW
Infinity Property and Casualty Corp.	IPCC*	Jensyn Acquisition Corp.	JSYNU
InfraREIT, Inc. Common Stock	HIFR	Jones Lang LaSalle Incorporated	JLL*
Innovative Industrial Properties, Inc. Common Stock	IIPR	KB Home	KBH*
Insight Enterprises, Inc.	NSIT	KCAP Financial, Inc.	KCAP*
Intel Corp	INTC	KCAP Financial, Inc.	KCAPL
InterXion Holding N.V. Ordinary shares, nominal value	INXN	KKR & Co. L.P.	KKR*
Interactive Brokers Group, Inc.	IBKR*	KKR Real Estate Finance Trust Inc.	KREF*
Intercontinental Exchange, Inc.	ICE*	KLA-Tencor Corporation	KLAC
International Bancshares Corp.	IBOC	KapStone Paper and Packaging Corporation Common Stock	KS
Invesco Ltd.	IVZ*	Kayne Anderson Acquisition Corp.	KAAC
Invesco Mortgage Capital, Inc	IVR*	Kayne Anderson Acquisition Corp.	KAACW
Investar Holding Corporation	ISTR	Kayne Anderson Acquisition Corp.	KAACU
Investment Technology Group, Inc.	ITG	Kearny Financial Corp.	KRNY*
Investors Bancorp, Inc.	ISBC*	Kemper Corporation	KMPR
Investors Title Company	ITIC	Kennedy Wilson	KW
Invitation Homes Inc.	INVH*	Kentucky First Federal Bancorp	KFFB
Ishares Dow Jones U.S. Real Estate Etf	IYR	KeyCorp	KEY*
Ishares Ftse Nareit Mortgage Reits Etf	REM	Kilroy Realty Corp	KRC
Itau CorpBanca ADS	ITCB	Kimco Realty	KIM
Iteris, Inc.	ITI	Kindred Healthcare Inc.	KND
J. Alexander's Holdings, Inc. Common Stock, par value \$0.001	JAX	Kingsway Financial Services Inc.	KFS



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COMPANY	SYMBOL	COMPANY	SYMBOL
Kinsale Capital Group, Inc.	KNSL	Leisure Acquisition Corp.	LACQ
Kite Realty Group Trust	KRG	Leisure Acquisition Corp.	LACQW
Klondex Mines Ltd. Common Shares no par value	KLDX	Leisure Acquisition Corp.	LACQU
Knight-Swift Transportation Holdings Inc. Common Stock	KNX	LendingClub Corporation	LC*
Kookmin Bank ADS	KB	LendingTree	TREE
LCNB Corp.	LCNB	Lennar Corporation	LEN*
LHC Group	LHCG	Leucadia National Corporation Common Stock	LUK
LPL Financial Holdings Inc.	LPLA*	Level One Bancorp, Inc.	LEVL
LSI Corporation	LSI	Lexington Realty Trust	LXP
LTC Properties	LTC	Liberty Financial Companies	L
La Quinta	LQ	Liberty Global plc	LBTYA
LaSalle Hotel Properties	LHO	Liberty Global plc	LBTYK
Ladder Capital Corp.	LADR*	Liberty Property Trust	LPT
Ladenburg Thalmann Financial Services Inc. Common Stock	LTS	Life Storage, Inc. Common Stock	LSI
Lake Shore Bancorp, Inc. (MHC)	LSBK	Lincoln National Corp	LNC*
Lakeland Bancorp, Inc	LBAI*	Littelfuse, Inc.	LFUS
Lakeland Financial Corporation	LKFN*	Live Oak Bancshares, Inc.	LOB
Lam Research Corporation	LRCX	LivePerson, Inc.	LPSN
Landcadia Holdings, Inc.	LCA	Lloyds TSB Group ADS	LYG
Landcadia Holdings, Inc.	LCAHW	Lumentum Holdings	LITE
Landcadia Holdings, Inc.	LCAHU	Luther Burbank Corporation	LBC*
Landmark Bancorp Inc.	LARK	M D C Holdings Inc.	MDC
Las Vegas Sands Corp. Common Stock	LVS	M I Acquisitions, Inc.	MACQ
Lattice Semiconductor Corporation	LSCC	M I Acquisitions, Inc.	MACQW
Layne Christensen Company	LAYN	M I Acquisitions, Inc.	MACQU
Lazard Ltd.	LAZ*	M&T Bank Corporation	MTB*
Legacy Acquisition Corp.	LGC.U	M/I Homes, Inc.	MHO
Legacy Acquisition Corp. Class A Common Stock, par value \$0.	LGC	MACOM Technology Solutions Holdings, Inc.	MTSI
LegacyTexas Financial Group, Inc.	LTXB*	MB Financial, Inc	MBFI*
Legg Mason Inc	LM*	MBIA, Inc.	MBI*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
MBT Financial Corp.	MBTF	Mastercard Inc.	MA*
MFA Financial, Inc.	MFA*	Matlin & Partners Acquisition Corporation	MPAC
MGIC Investment Corp.	MTG*	Matlin & Partners Acquisition Corporation	MPACW
MGM Growth Properties LLC Class A common shares representing	MGP	Matlin & Partners Acquisition Corporation	MPACU
MOD-PAC CORP.	MPAC	Matson, Inc	MATX
MODERN MEDIA AQ UNIT	MMDMU	McDermott International, Inc. Common Stock	MDR
MSB Financial Corp (MHC)	MSBF	MedEquities Realty Trust, Inc. Common Stock	MRT
MSCI Inc.	MSCI*	Medallion Financial Corp.	MFIN
MTGE Investment Corp.	MTGE*	Medical Properties Trust, Inc	MPW
MVB Financial Corp.	MVBF	Medley Capital Corporation	MCC
MVC Capital	MVC	Medley Capital Corporation 6.125% Senior Notes due 2023	MCV
Macatawa Bank Corporation	MCBC*	Medley Capital Corporation 6.50% Notes due 2021	MCX
Macerich Company	MAC	Medley Management Inc.	MDLY
Mack-Cali Realty Corporation	CLI	Medtronic plc Ordinary Shares	MDT
Mackinac Financial Corporation	MFNC	Melco Resorts & Entertainment Limited	MLCO
Magyar Bancorp, Inc.	MGYR	Melrose Bancorp, Inc.	MELR
Maiden Holdings North America, Ltd. 7.75% Notes due 2043	MHNC	Mercantile Bank Corporation	MBWM*
Maiden Holdings, Ltd.	MHLD*	Merchants Bancorp	MBIN
Main Street Capital Corporation	MAIN	Mercury General Corporation	MCY
Mallinckrodt public limited company Ordinary Shares, par val	MNK	Meridian Bancorp, Inc.	EBSB*
Malvern Bancorp, Inc.	MLVF*	Meridian Bank	MRBK*
Manning & Napier, Inc.	MN	Meritage Homes Corporation	MTH*
Manulife Financial Corporation	MFC	MetLife, Inc.	MET*
Markel Corp	MKL	Meta Financial Group, Inc.	CASH*
MarketAxess Holdings, Inc.	MKTX*	Metropolitan Bank Holding Corp.	MCB*
Marlin Business Services Corp.	MRLN	Microchip Technology	MCHP
Marriott International	MAR	Microsemi Corp.	MSCC
Marriott Vacations Worldwide Corporation Common Stock par va	VAC	Mid Penn Bancorp	MPB
Marsh & McLennan Companies, Inc.	MMC*	Mid-America Apartment Communities, Inc.	MAA
Marvell Technology Group Ltd	MRVL	MidSouth Bancorp, Inc	MSL*



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
MidWestOne Financial Group, Inc.	MOFG*	NXP Semiconductors N.V.	NXPI
Middlefield Banc Corp	MBCN*	Nasdaq, Inc.	NDAQ*
Midland States Bancorp, Inc.	MSBI*	National Bank Holdings Corporation	NBHC*
Midstates Petroleum Company, Inc. Common Stock, \$0.01 par va	MPO	National Bankshares, Inc.	NKSH
Mitel Networks Corporation	MITL	National Commerce Corporation	NCOM*
Mitsubishi UFJ Financial Group, Inc. ADS	MUFG	National Energy Services Reunited Corp.	NESR
Mizuho Financial Group ADS	MFG	National Energy Services Reunited Corp.	NESRW
Modern Media Acquisition Corp.	MMDM	National General Holdings Corp.	NGHC*
Modern Media Acquisition Corp.	MMDMW	National Health Investors, Inc.	NHI
Modern Media Acquisition Corp.	MMDMU	National Holdings Corporation	NHLD
Moelis & Company	MC*	National Retail Properties	NNN
Moneygram International, Inc.	MGI	National Western Life	NWLI
Monmouth Real Estate Investment Corp.	MNR	Nationstar Mortgage Holdings, Inc.	NSM*
Monroe Capital Corp.	MRCC	Navient Corp	NAVI*
Monsanto Company Common Stock	MON	Nebula Acquisition Corporation	NEBU
Moody's Corporation	MCO	Nebula Acquisition Corporation	NEBUW
Morgan Stanley	MS*	Nebula Acquisition Corporation	NEBUU
Morningstar, Inc.	MORN	Nelnet, Inc.	NNI
Morton's Restaurant Group, Inc. Common Stock	MRT	New Home Co. Inc.	NWHM
Mosaic Acquisition Corp. Class A Ordinary Shares, par value	MOSC	New Mountain Finance Corporation	NMFC*
Mosaic Acquisition Corp. Units, each consisting of one Class	MOSC.U	New Residential Investment Corp.	NRZ*
MuleSoft, Inc. Class A Common Stock	MULE	New York Community Bancorp, Inc.	NYCB*
MutualFirst Financial, Inc.	MFSF*	New York Mortgage Trust, Inc.	NYMT*
Mylan N.V.	MYL	New York Mortgage Trust, Inc.	NYMTP
NBT Bancorp Inc	NBTB*	New York REIT, Inc. Common Stock	NYRT
NCR Corporation Common Stock	NCR	Newmark Group, Inc.	NMRK*
NI Holdings, Inc.	NODK	Newmont Mining Corporation Common Stock	NEM
NMI Holdings, Inc.	NMIH*	Newtek Business Services Corp.	NEWT*
NOBAO RENEWABLE ENRGY HLDG LTD SPONSORED ADR : NRE	NRE	Newtek Business Services Corp.	NEWTI
NVR	NVR	Nexstar Media Group, Inc.	NXST



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Nicholas Financial Inc	NICK	Office Depot, Inc.	ODP
Nicolet Bancshares, Inc.	NCBS*	Ohio Valley Banc Corp.	OVBC
Nomura Holdings ADS	NMR	Old Line Bancshares, Inc.	OLBK*
Norfolk Southern Corporation Common Stock	NSC	Old National Bancorp	ONB*
NorthStar Realty Europe Corp. Common Stock	NRE	Old Point Financial Corporation	OPOF
Northeast Bancorp	NBN	Old Republic International Corporation	ORI
Northern Trust Corp	NTRS*	Old Second Bancorp, Inc.	OSBC*
Northfield Bancorp, Inc.	NFBK*	Omega Healthcare Investors	OHI
Northrim BanCorp, Inc.	NRIM	On Deck Capital, Inc.	ONDK*
Northwest Bancshares, Inc.	NWBI*	One Liberty Properties Inc.	OLP
Norwood Financial Corp.	NWFL	One Madison Corporation Class A Ordinary Shares	OMAD
Novartis AG ADS	NVS	One Madison Corporation Units, each consisting of one Class	OMAD.U
Novelion Therapeutics Inc.	NVLN	OneMain Holdings, Inc.	OMF*
NuStar Energy L.P. Common Units, representing limited partne	NS	Oppenheimer Holding Inc.	OPY
NuStar GP Holdings, LLC Units Representing Limited Liability	NSH	Opus Bank	OPB*
OFG Bancorp	OFG*	Orbital ATK, Inc. Common Stock	OA
OFG Bancorp 7.125% Noncumulative Monthly Income Preferred St	OFG-A	Orbotech Ltd.	ORBK
OFS Capital Corporation	OFS	Oritani Financial Corp	ORIT*
OHA Investment Corporation	OHAI	Orrstown Financial Services, Inc.	ORRF*
ONEOK, Inc. Common Stock	OKE	Osprey Energy Acquisition Corp.	OSPR
OP Bancorp	OPBK*	Osprey Energy Acquisition Corp.	OSPRW
Oaktree Capital Group, LLC	OAK*	Osprey Energy Acquisition Corp.	OSPRU
Oaktree Specialty Lending Corporation	OCSLL	Ottawa Bancorp, Inc.	OTTW
Oaktree Specialty Lending Corporation	OCSL*	Owens Realty Mortgage Inc. Common stock	ORM
Oaktree Strategic Income Corp	OCSI	Oxford Lane Capital Corp.	OXLC
OceanFirst Financial Corp.	OCFC*	Oxford Square Capital Corp.	OXSQ
Och-Ziff Capital Management Group LLC	OZM*	PCSB Financial Corporation	PCSB
Oclaro	OCLR	PDL Community Bancorp	PDLB
Oconee Federal Financial Corp. (MHC)	OFED	PHH Corporation	PHH*
Ocwen Financial Corporation	OCN*	PICO Holdings, Inc.	PICO



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
PJT Partners Inc. Class A Common stock	PJT	Pensare Acquisition Corp.	WRLSW
POWERSHARES KBW Bank Portfolio (ETF)	KBWB	Pensare Acquisition Corp.	WRLSU
POWERSHARES KBW High Dividend Yield Financial Portfolio (ETF)	KBWD	People's United Financial, Inc	PBCT*
POWERSHARES KBW Premium Yield Equity REIT Portfolio (ETF)	KBWY	People's Utah Bancorp	PUB
POWERSHARES KBW Property & Casualty Insurance Portfolio (ETF)	KBWP	Peoples Bancorp Inc.	PEBO*
POWERSHARES KBW Regional Banking Portfolio (ETF)	KBWR	Peoples Bancorp of N.C. Inc.	PEBK
PPDAI Group Inc	PPDF*	Perry Ellis International Inc.	PERY
PRA Group, Inc.	PRAA*	Petroleo Brasileiro S.A.-Petrobras ADS	PBR
PS Business Parks Inc.	PSB	Piedmont Office Realty Trust, Inc	PDM
PacWest Bancorp	PACW*	Pinnacle Entertainment, Inc.	PNK
Pacific Mercantile Bancorp	PMBC*	Pinnacle Financial Partners, Inc.	PNFP*
Pacific Premier Bancorp Inc	PPBI*	Piper Jaffray Companies	PJC*
Pan American Silver Corp.	PAAS	Platinum Eagle Acquisition Corp.	EAGL
Paramount Group, Inc. Common Stock	PGRE	Platinum Eagle Acquisition Corp.	EAGLW
Park Hotels & Resorts Inc. Common stock	PK	Platinum Eagle Acquisition Corp.	EAGLU
Park National Corporation	PRK*	Plumas Bank	PLBC
Parke Bancorp, Inc.	PKBK	Poage Bankshares, Inc.	PBSK
Pathfinder Bancorp, Inc. (MHC)	PBHC	Popular, Inc.	BPOP*
Patriot National Bank	PNBK	Popular, Inc.	BPOP*
PayPal Holdings, Inc.	PYPL*	Porter Bancorp, Inc.	PBIB
Peapack-Gladstone Financial Corporation	PGC*	Potlatch Corp	PCH
Pebblebrook Hotel Trust	PEB	Power REIT 7.75% Series A Cumulative Redeemable Perpetual Pr	PW-A
Penn National Gaming, Inc.	PENN	Preferred Bank	PFBC
PennantPark Floating Rate Capital Ltd.	PFLT*	Premier Financial Bancorp, Inc.	PFBI
PennantPark Investment Corp	PNNT*	Primerica, Inc.	PRJ*
Penns Woods Bancorp, Inc.	PWOD	Principal Financial Group, Inc.	PFG*
Pennsylvania Real Estate Investment Trust	PEI	ProAssurance Corporation	PRA*
PennyMac Financial Services	PFSI*	ProLogis	PLD
PennyMac Mortgage Investment Trust	PMT*	Progressive Corporation	PGR*
Pensare Acquisition Corp.	WRLS	Proshares UltraShort Real Estate	SRS



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Prospect Capital Corporation	PSEC	Realogy Holdings Corp	RLGY*
Prosperity Bancshares, Inc.	PB*	Realty Income Corp.	O
Provident Financial Holdings Inc	PROV	Red Lion Hotels Inc	RLH
Provident Financial Services, Inc.	PFS*	Redding Bank of Commerce	BOCH
Prudential Bancorp, Inc. of Pennsylvania (MHC)	PBIP	Redfin	RDFN
Prudential Financial, Inc.	PRU*	Redwood Trust, Inc.	RWT*
Prudential PLC ADS	PUK	Regalwood Global Energy Ltd. Class A ordinary Shares	RWGE
Public Storage (MD)	PSA	Regalwood Global Energy Ltd. Units, each consisting of one C	RWGE.U
Pulte Group, Inc	PHM	Regency Centers Corporation	REG
Putnam Savings Bank	PBBI	Regional Management Corp.	RM*
Pzena Investment Management	PZN	Regions Financial Corporation	RF*
QCR Holdings, Inc.	QCRH*	Reinsurance Group of America, Inc.	RGA*
QIAGEN N.V. Common Shares	QGEN	Reliant Bancorp Inc.	RBNC*
QUALCOMM Incorporated	QCOM	RenaissanceRe Holdings Ltd.	RNR*
Quality Care Properties, Inc.	QCP	RenaissanceRe Holdings Ltd. 5.375% Series E Preference Share	RNR-E
Qurate Retail Group, Inc.	QRTEA	Renasant Corporation	RNST*
RAIT Financial Trust	RAS	Republic Bancorp, Inc.	RBCAA
RBB Bancorp	RBB*	Republic First Bancorp, Inc.	FRBK*
RE/MAX Holdings, Inc.	RMAX*	Resource Capital Corp.	RSO
RELX PLC ADS	RELX	Retail Opportunity Investment Corp.	ROIC
RLI Corp	RLI*	Retail Properties of America, Inc. Class A Common Stock	RPAI
RLJ Lodging Trust	RLJ	Rexford Industrial	REXR
RSP Permian, Inc. Common Stock, \$0.01 per share	RSP	Rio Tinto plc American Depositary Receipts (Each representin	RIO
Radian Group Inc.	RDN*	Rite Aid Corporation Common Stock	RAD
Radius Health, Inc.	RDUS	Riverview Bancorp, Inc.	RVSB
Ramco-Gershenson Properties Trust	RPT	Rockwell Collins, Inc. Common Stock	COL
Randolph Bancorp, Inc.	RNDB	Royal Bank of Canada	RY
Raymond James Financial Inc.	RJF*	Royal Bank of Scotland Group PLC	RBS
Rayonier Advanced Materials Inc. Common Stock, par value \$0.	RYAM	Ryman Hospitality Properties, Inc. Common Stock	RHP
Rayonier Inc.	RYN	S&P Global, Inc.	SPGI



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
S&T Bancorp Inc	STBA*	Seacoast Banking Corp of Florida	SBCF
SAP SE ADS	SAP	Sears Holdings Corporation	SHLD
SB Financial Group, Inc.	SBFG	Select Bancorp, Inc.	SLCT
SB Financial Group, Inc.	SBFGP	Select Income REIT	SIR
SEI Investments Company	SEIC*	Selective Insurance Group, Inc	SIGI*
SI Financial Group, Inc.	SIFI	SemGroup Corporation Class A Common stock, par value \$0.01 p	SEMG
SILVER EAGLE ACQSTN	EAGL	Senior Housing Properties Trust	SNH
SILVER EAGLE ACQSTN UNIT	EAGLU	Sentinel Energy Services Inc.	STNL
SILVER EAGLE ACQSTN WTS	EAGLW	Sentinel Energy Services Inc.	STNLW
SJW Group Common Stock	SJW	Sentinel Energy Services Inc.	STNLU
SL Green Realty Corporation	SLG	ServisFirst Bancshares, Inc.	SFBS
SLM Corporation	SLM*	Severn Bancorp, Inc.	SVBI
SPDR Financial Sector ETF	XLF	Shinhan Financial ADS	SHG
SPDR Homebuilders Sector ETF	XHB	Shire plc	SHPG
SPDR S&P Bank ETF	KBE	Shore Bancshares, Inc.	SHBI
SPDR S&P Capital Markets ETF	KCE	Siebert Financial Corp.	SIEB
SPDR S&P Insurance ETF	KIE	Sierra Bancorp	BSRR*
SPDR S&P Regional Banking ETF	KRE	Signature Bank	SBNY*
STAG Industrial	STAG	Simmons First National Corporation	SFNC*
SVB Financial Group	SIVB*	Simon Property Group	SPG
Sabra Health Care REIT	SBRA	Sinclair Broadcast Group, Inc.	SBGI
Safeguard Scientifics, Inc	SFE*	Social Capital Hedosophia Holdings Corp. Units, each consist	IPOA.U
Safety Insurance Group, Inc.	SAFT	Solar Capital Ltd.	SLRC*
Salesforce.com	CRM	Solar Senior Capital Ltd	SUNS
Salisbury Bancorp, Inc.	SAL	Sony Corporation ADS	SNE
SandRidge Energy, Inc	SD	Sound Financial Bancorp, Inc.	SFBC
Sandy Spring Bancorp, Inc.	SASR*	South State Corporation	SSB*
Santander Consumer USA Holdings Inc.	SC*	Southern First Bancshares, Inc.	SFST
Saul Centers	BFS	Southern Missouri Bancorp	SMBC
SeaWorld Entertainment, Inc. Common Stock, \$0.01 par value	SEAS	Southern National Bancorp of Virginia, Inc.	SONA



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Southside Bancshares, Inc.	SBSI*	SunTrust Banks Inc	STI*
Southwest GA Financial Corp	SGB	SunTrust Banks, Inc. Warrants (expiring November 14, 2018)	STI+B
Sparton Corporation Common Stock	SPA	Sunstone Hotel Investors	SHO
Spectrum Brands Holdings, Inc. Common Stock	SPB	Sussex Bancorp	SBBX*
Spirit Realty Capital	SRC	Sutherland Asset Management Corporation	SLD*
Sprint Corporation Common Stock	S	Synchrony Financial	SYF*
Square, Inc.	SQ*	Synovus Financial Corp	SNV*
St. Joe Company	JOE	Synovus Financial Corp. 7.875% Series C Fixed-to-Floating Ra	SNV-C
Starwood Property Trust, Inc.	STWD*	T-Mobile US, Inc.	TMUS
State Auto Financial Corp.	STFC*	T. Rowe Price Group Inc	TROW*
State Bank Financial Corporation	STBZ*	TCF Financial Corporation	TCF*
State Street Corporation	STT*	TCG BDC, Inc.	CGBD*
Stellus Capital Investment Corporation	SCM*	TCP Capital Corp.	TCPC*
Sterling Bancorp	STL*	TD Ameritrade Holding Corp.	AMTD*
Sterling Bancorp, Inc.	SBT	TFS Financial Corporation	TFSL*
Stewardship Financial Corporation	SSFN	THL Credit, Inc.	TCRD*
Stewart Information Services Corporation	STC*	THL Credit, Inc. 6.75% Notes due 2022	TCRZ
Stock Yards Bancorp, Inc.	SYBT*	TPG Pace Energy Holdings Corp. Class A Common Stock	TPGE
StoneCastle Financial Corp.	BANX*	TPG Pace Energy Holdings Corp. Units, each consisting of one	TPGE.U
Store Capital Corp.	STOR	TPG Pace Holdings Corp. Units, each consisting of one Class	TPGH.U
Strayer Education, Inc.	STRA	TPG Specialty Lending, Inc.	TSLX
Student Transportation Inc	STB	TRI Pointe Group, Inc.	TPH
Sumitomo Mitsui Financial Group	SMFG	Taiwan Semiconductor Manufacturing Company Ltd. ADS	TSM
Summit Financial Group, Inc.	SMMF	Tanger Factory Outlet Centers Inc.	SKT
Summit Hotel Properties, Inc.	INN	Target Corp.	TGT
Summit State Bank	SSBI	Taubman Centers	TCO
Sun Communities	SUI	Taylor Morrison, Inc.	TMHC
Sun Life Financial Services	SLF	Telular Corporation	WRLS
SunCoke Energy Partners, L.P. Common units representing limi	SXCP	Terex Corporation Common Stock	TEX
SunCoke Energy, Inc. Common Stock	SXC	Terreno Realty Corp	TRNO



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Territorial Bancorp Inc	TBNK*	Third Point Reinsurance Ltd	TPRE*
Tesla, Inc.	TSLA	TiVo Corporation	TIVO
Texas Capital Bancshares, Inc.	TCBI*	Tiberius Acquisition Corporation	TIBR
Textainer Group Holdings Limited	TGH*	Tiberius Acquisition Corporation	TIBRW
The Allstate Corporation	ALL*	Tiberius Acquisition Corporation	TIBRU
The Bancorp Bank	TBBK	Tier REIT	TIER
The Bank of New York Mellon Corporation	BK*	Timberland Bancorp, Inc.	TSBK
The Bank of Princeton	BPRN	Time Warner Inc. Common Stock	TWX
The Blackstone Group L.P.	BX*	Tiptree Inc.	TIPT
The Carlyle Group L.P.	CG*	Toll Brothers, Inc.	TOL*
The Charles Schwab Corporation	SCHW*	Tompkins Financial Corporation	TMP*
The Community Financial Corporation	TCFC*	Torchmark Corp	TMK*
The Finish Line, Inc.	FINL	Toronto Dominion Bank	TD
The First Bancshares, Inc.	FBMS*	Total S.A. ADS	TOT
The First of Long Island Corporation	FLIC*	Total System Services, Inc.	TSS*
The GEO Group, Inc. Common Stock, par value \$0.01 per share	GEO	TowneBank	TOWN*
The Goldman Sachs Group, Inc.	GS*	TransUnion Corporation	TRU
The Hanover Insurance Group, Inc.	THG*	Transocean Ltd. Registered Shares	RIG
The Hanover Insurance Group, Inc. 6.35% Subordinated Debenture	THGA	TriCo Bancshares	TCBK*
The Hartford Financial Services Group, Inc.	HIG*	TriState Capital Holdings, Inc.	TSC*
The Hartford Financial Services Group, Inc. Warrant Expiring	HIG+	Triangle Capital Corporation	TCAP*
The Howard Hughes Corporation Common Stock	HHC	Triangle Capital Corporation 6.375% Senior Notes due 2022	TCCA
The Kroger Co. Common Stock	KR	Tribune Media Company Class A Common Stock	TRCO
The Navigators Group, Inc.	NAVG*	TriplePoint Venture Growth BDC Corp.	TPVG*
The PNC Financial Services Group, Inc.	PNC*	TriplePoint Venture Growth BDC Corp. 5.75% Notes due 2022	TPVY
The PNC Financial Services Group, Inc. Warrant (Expiring Dec)	PNC+	Triton International LTD.	TRTN*
The Provident Bank	PVBC	Triumph Bancorp, Inc.	TBK*
The Travelers Companies, Inc.	TRV*	Trustco Bank Corp NY	TRST
The Walt Disney Company Common Stock	DIS	Trustmark Corporation	TRMK*
The Williams Companies, Inc. Common Stock	WMB	Twenty-First Century Fox, Inc.	FOX



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Twenty-First Century Fox, Inc.	FOXA	Unum Group	UNM*
Two Harbors Investment Corp.	TWO*	Urban Edge Properties Common Shares	UE
Two Rivers Bancorp	TRCB	Urstadt Biddle Properties	UBA
U.S. Bancorp	USB*	Urstadt Biddle Properties Inc.	UBP
U.S. Bancorp Depository Shares each representing a 1/1,000th	USB-O	VEREIT, Inc.	VER
UBS AG	UBS	VICI Properties	VICI
UDR, Inc.	UDR	VMware	VMW
UMB Financial Corp	UMBF*	VOYA Financial, Inc.	VOYA*
US Itau Unibanco Holding SA	ITUB	Vail Resorts, Inc.	MTN
USF&G Corporation	FG	Vale S.A. ADS	VALE
USG Corporation Common Stock	USG	Valeant Pharmaceuticals International, Inc. Common Stock	VRX
Umpqua Holdings Corporation	UMPQ*	Validus Holdings Ltd.	VR*
Union Acquisition Corp. Ordinary shares	LTN	Valley National Bancorp	VLV*
Union Acquisition Corp. Units, each consisting of one ordina	LTN.U	Vanguard REIT ETF	VNQ
Union Bankshares Corporation	UBSH*	Vantage Energy Acquisition Corp.	VEAC
Union Bankshares, Inc.	UNB	Vantage Energy Acquisition Corp.	VEACW
United Bancshares, Inc.	UBOH	Vantage Energy Acquisition Corp.	VEACU
United Bankshares Inc	UBSI*	Veeco Instruments Inc.	VECO
United Community Bancorp	UCBA	Ventas Inc	VTR
United Community Banks, Inc.	UCBI*	VeriFone Systems, Inc.	PAY
United Community Financial Corp.	UCFC*	Verisk Analytics, Inc.	VRSK*
United Financial Bancorp, Inc.	UBNK*	Veritex Holdings, Inc.	VBTX*
United Fire Group Inc	UFCS	Verizon Communications Inc. Common Stock	VZ
United Insurance Holdings Corp.	UIHC*	Viacom Inc.	VIAB
United Security Bancshares	UBFO	Victory Capital Holdings, Inc.	VCTR*
UnitedHealth Group Incorporated Common Stock	UNH	Village Bank and Trust Financial Corp.	VBFC
Unity Bank	UNTY	Virtu Financial, Inc	VIRT
Universal Health Realty Income Trust	UHT	Virtus Investment Partners	VRTS
Universal Insurance Holdings, Inc.	UVE*	Visa, Inc.	V*
Univest Corporation of Pennsylvania	UVSP*	Vistra Energy Corp. Common Stock	VST



KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Vodafone Group PLC	VOD	Western Alliance Bancorporation	WAL*
Vornado Realty Trust	VNO	Western Asset Mortgage Capital Corporation	WMC
W.R. Berkley Corp	WRB*	Western Digital Corporation	WDC
WEX, Inc.	WEX*	Western New England Bancorp Inc.	WNEB
WMIH Corp.	WMIH	Western Union	WU
WP Carey & Co. LLC	WPC	Westlake Chemical Corporation Common Stock	WLK
WP Glimcher, Inc.	WPG	Westwood Holdings Group	WHG
WPP plc ADS	WPP	Weyerhaeuser Company	WY
WSFS Financial Corp	WSFS*	Whitehorse Finance, Inc.	WHF
WVS Financial Corporation	WVFC	Whitestone REIT Common Shares	WSR
Waddell & Reed Financial, Inc.	WDR*	William Lyon Homes	WLH
Walker & Dunlop, Inc.	WD*	Williams Partners L.P. Common Units Representing Limited Par	WPZ
Washington Federal, Inc.	WAFDW	Willis Lease Finance Corporation	WLFC
Washington Federal, Inc.	WAFD*	Willis Towers Watson Public Limited Company	WLTW*
Washington Real Estate Investment Trust	WRE	Windstream Corporation	WIN
Washington Trust Bancorp, Inc.	WASH*	Wintrust Financial Corporation	WTFC*
Waterstone Financial, Inc.	WSBF	WisdomTree Investments, Inc.	WETF*
Wausau Paper Corp. Common Stock	WPP	Woodward, Inc.	WWD
Webster City Federal Bancorp (MHC)	WCFB	Woori Finance Holdings Co., Ltd.	WF
Webster Financial Corp	WBS*	World Acceptance	WRLD
Weingarten Realty Investors	WRI	Worldpay, Inc.	WP*
Wellesley Bancorp, Inc.	WEBK	Wright Medical Group N.V.	WMGI
Wells Fargo & Company	WFC*	Wyndham Worldwide Corporation	WYN
Wells Fargo & Company Warrants (Expiring October 28, 2018)	WFC+	XL Group Ltd.	XL*
Welltower Inc.	WELL	Xcerra Corporation	XCRA
WesBanco Inc	WSBC*	Xenia Hotels & Resorts, Inc. Common Stock	XHR
West Bancorporation, Inc.	WTBA	Xerox Corporation Common Stock	XRX
WestRock Company Common Stock	WRK	Zillow Group, Inc.	Z
Westamerica Bancorp	WABC*	Zions Bancorporation	ZION*
Westar Energy, Inc. Common Stock	WR	Zions Bancorporation	ZIONW



KBW Market Making

US Region

COMPANY	SYMBOL
Zions Bancorporation	ZIONZ
Zions Bancorporation Depositary Shares, Each Representing 1 /	ZB-H
Zions Bancorporation Depositary Shs (1 / 40th Interest in a Sh	ZB-A
eBay Inc.	EBAY
eHealth, Inc.	EHTH
iShares Cohen & Steers Realty Majors	ICF
iStar Inc.	STAR*
tronc, Inc.	TRNC