



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
1st Constitution Bancorp	FCCY	Ally Financial Inc.	ALLY*
1st Source Corporation	SRCE*	Altaba Inc.	AABA
ACNB Corporation	ACNB	Altisource Portfolio Solutions S.A.	ASPS
AEGON NV	AEG	Altisource Residential Corporation	RESI*
AG Mortgage Investment Trust, Inc.	MITT*	AmTrust Financial Services, Inc.	AFSI*
AGNC Investment Corp.	AGNC*	AmTrust Financial Services, Inc. 6.75% Non-Cumulative Prefer	AFSI-A
AON plc	AON*	Ambac Financial Group, Inc.	AMBC
ASB Bancorp	ASBB	American Assets Trust, Inc.	AAT
AT&T, Inc.	T	American Axle & Manufacturing Holdings, Inc. Common Stock	AXL
Abbott Laboratories Common Stock	ABT	American Campus Communities, Inc.	ACC
Acadia Realty Trust	AKR	American Capital Senior Floating, Ltd.	ACSF
Access National Corporation	ANCX*	American Equity Investment Life Holding Co.	AEL*
AerCap Holdings N.V.	AER	American Express Company	AXP*
Aetna Inc	AET	American Financial Group Inc.	AFG
Affiliated Managers Group, Inc.	AMG*	American Homes 4 Rent	AMH*
Aflac Inc	AFL*	American Homes 4 Rent 5.000% Series B Participating Preferre	AMH-B
Agree Realty Corporation	ADC	American International Group, Inc.	AIG*
Agrium Inc. Common Shares	AGU	American International Group, Inc. Warrants (expiring Januar	AIG+
Air Lease Corporation	AL	American National Bankshares, Inc.	AMNB*
Aircastle Limited	AYR	American National Insurance Co	ANAT
Albany Molecular Research, Inc.	AMRI	American River Bankshares	AMRB
Alcentra Capital Corporation	ABDC*	American Tower Corporation Common Stock	AMT
Alere Inc. Common Stock	ALR	Ameriprise Financial, Inc.	AMP*
Alexander & Baldwin, Inc.	ALEX	Ameris Bancorp	ABCB*
Alexandria Real Estate Equities, Inc.	ARE	Amerisafe, Inc.	AMSF
Alleghany Corporation	Y	Ameriserv Financial, Inc.	ASRV
Allegiance Bancshares, Inc.	ABTX*	Analog Devices, Inc.	ADI
Allergan plc Ordinary Shares	AGN	Anchor Bancorp	ANCB*
Alliance Data Systems Corp.	ADS*	Andeavor Common Stock	ANDV
AllianceBernstein Holding L.P.	AB*	Andeavor Logistics LP Common Units representing Limited Part	ANDX



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Angie's List, Inc.	ANGI	Atlantic Coast Bank	ACFC
Annaly Capital Management, Inc.	NLY*	Atlanticus Holding Corporation	ATLC
Anthem	ANTM	Atlas Financial Holdings, Inc.	AFH
Anworth Mortgage Asset Corporation	ANH	Atwood Oceanics, Inc. Common Stock	ATW
Apartment Investment & Management Co.	AIV	Auburn National Bancorporation	AUBN
Apollo Commercial Real Estate Finance, Inc.	ARI*	Avalon Bay Communities	AVB
Apollo Global Management, LLC	APO*	Axis Capital Holdings Limited	AXS*
Apollo Investment Corp.	AINV*	B. Riley Financial, Inc.	RILY
Arbor Realty Trust, Inc.	ABR*	BB&T Corporation	BBT*
Arch Capital Group Ltd.	ACGL*	BB&T Corporation Depository Shares each representing 1/1,000	BBT-G
Ares Capital Corporation	ARCC*	BCB Bancorp, Inc.	BCBP
Ares Commercial Real Estate Corporation	ACRE*	BGC Partners, Inc.	BGCP
Ares Dynamic Credit Allocation Fund, Inc. Common Shares	ARDC	BHP Billiton Limited ADS	BHP
Ares Management, L.P.	ARES*	BOK Financial Corporation	BOKF*
Argo Group International Holdings, Ltd.	AGII*	BP p.l.c. ADS	BP
Arlington Asset Investment	AI	BSB Bancorp Inc	BLMT
Armour Residential REIT, Inc.	ARR	Baldwin & Lyons, Inc.	BWINB
Arrow Financial Corporation	AROW	BanColombia SA ADS	CIB
Arthur J. Gallagher & Co.	AJG*	Banc of California, Inc.	BANC*
Artisan Partners Asset Management Inc.	APAM*	Banc of California, Inc. Depository Shares, each representin	BANC-C
Ashford Hospitality Prime, Inc. Common Stock	AHP	BancFirst Corporation	BANF*
Ashford Hospitality TR, Inc.	AHT	Banco Bilbao Vizcaya Argentaria	BBVA
Aspen Insurance Holdings Limited	AHL	Banco Bradesco S A NEW	BBD
Associated Banc-Corp	ASB*	Banco Latinoamericano de Comercio Exterior, S.A. Class E Com	BLX
Assurant, Inc	AIZ	Banco Santander ADS	SAN
Assured Guaranty Ltd.	AGO	Banco Santander Brasil S A	BSBR
Asta Funding, Inc.	ASFI	Banco Santander-Chile ADS	BSAC
Astoria Financial Corporation	AF*	Bancorp 34, Inc.	BCTF
Athene Holding Ltd.	ATH*	BancorpSouth Inc	BXS*
Atlantic Capital Bancshares, Inc.	ACBI*	Bank Mutual Corporation	BKMU*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Bank of America Corp.	BAC*	BlackBerry Limited	BBRY
Bank of America Corporation Warrants (expiring January 16, 2	BAC+A	BlackRock Capital Investment Corporation	BKCC*
Bank of America Corporation Warrants (expiring October 28, 2	BAC+B	BlackRock Inc	BLK*
Bank of Hawaii Corp.	BOH*	Blackstone Mortgage Trust, Inc.	BXMT*
Bank of Marin Bancorp	BMRC*	Blue Capital Reinsurance Holdings, Ltd.	BCRH*
Bank of Montreal	BMO	Blue Hills Bancorp, Inc.	BHBK*
Bank of N.T. Butterfield & Son Ltd.	NTB*	Bofl Holding, Inc.	BOFI*
Bank of Nova Scotia	BNS	Boston Private Financial Holdings, Inc.	BPFHP
Bank of South Carolina Corp.	BKSC	Boston Private Financial Holdings, Inc.	BPFH*
Bank of the James Financial Group, Inc.	BOTJ	Boston Properties	BXP
Bank of the Ozarks Inc	OZRK*	Brandywine Realty Trust	BDN
BankAtlantic Bancorp, Class A	BBX	Bridge Bancorp, Inc	BDGE*
BankUnited, Inc.	BKU*	Brighthouse Financial	BHF*
Bankfinancial	BFIN	Brixmor Property Group	BRX
Bankrate, Inc.	RATE	Broadcom Limited	AVGO
Bankwell Financial Group, Inc.	BWFG*	Broadridge Financial Solutions, Inc.	BR*
Banner Corporation	BANR*	Broadway Financial Corporation	BYFC
Bar Harbor Bankshares	BHB	Brocade Communications Systems, Inc.	BRCD
Barclays Capital, Inc	BCS	Brookdale Senior Living	BKD
Barrick Gold Corporation Common Shares	ABX	Brookfield Asset Management Inc.	BAM*
Bay Bancorp, Inc.	BYBK	Brookfield Property Partners L.P. Limited Partnership Units	BPY
Bear State Financial, Inc.	BSF	Brookline Bancorp, Inc.	BRKL*
Beazer Homes U S A Inc.	BZH	Brown & Brown, Inc.	BRO*
Belmond Ltd. Class A Common Shares	BEL	Bryn Mawr Bank Corporation	BMTC*
Beneficial Bancorp, Inc	BNCL*	Byline Bancorp, Inc.	BY*
Berkshire Hathaway Inc. Class B Common Stock	BRK.B	C&F Financial Corporation	CFFI
Berkshire Hills Bancorp, Inc	BHLB*	CAI International Inc.	CAI*
Berry Global Group, Inc. Common Stock	BERY	CAPITOL FIRST CORP COM NEW	CFCO
BioTelemetry, Inc.	BEAT	CB Financial Services, Inc.	CBFV*
Black Knight Financial Services, Inc.	BKFS*	CBL & Associates Properties	CBL



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COMPANY	SYMBOL	COMPANY	SYMBOL
CBOE Holdings, Inc	CBOE*	Capitala Finance Corp.	CPTA*
CBRE Group Inc.	CBG*	Capitol Federal Financial, Inc.	CFFN*
CF Corporation	CFCO	Capstead Mortgage Corp.	CMO*
CIGNA	CI	Capstead Mortgage Corporation 7.50% Series E Cumulative Rede	CMO-E
CIT Group Inc.	CIT*	Cardtronics, Inc.	CATM
CM Finance Inc.	CMFN*	Carolina Financial Corporation	CARO*
CME Group, Inc.	CME*	Carolina Trust Bank	CART
CNA Financial Corporation	CNA*	Carver Bancorp Inc	CARV
CNB Financial Corp.	CCNE	Cathay General Bancorp	CATY*
CNO Financial Group, Inc.	CNO*	Cedar Shopping Centers, Inc	CDR
CU Bancorp	CUNB*	Cemex, S.A.B. de C.V. ADS	CX
CVB Financial Corp	CVBF*	Cenovus Energy Inc. Common Shares	CVE
CYS Investments Inc	CYS*	CenterState Banks, Inc.	CSFL*
Cabela's Incorporated Class A Common Stock	CAB	Central Federal Corporation	CFBK
Cadence Bancorporation	CADE*	Central Pacific Financial Corp.	CPF*
Caesars Acquisition Company	CACQ	Central Valley Community Bancorp	CVCY*
Caesars Entertainment Corporation	CZR	Century Bancorp, Inc.	CNBKA
CalAtlantic Group, Inc.	CAA	Century Communities, Inc.	CCS
California First National Bancorp	CFNB	CenturyLink	CTL
Calpine Corporation Common Stock	CPN	Charter Financial Corporation	CHFNB*
Camden National Corp	CAC*	Chatham Lodging Trust	CLDT
Camden Property Trust	CPT	Chemical Financial Corp	CHFC*
Canadian Imperial Bank of Commerce	CM	Chemung Financial Corporation	CHMG
Canadian Pacific Railway Limited Common Shares	CP	Cherry Hill Mortgage Investment Corporation	CHMI
CapStar Financial Holdings, Inc.	CSTR*	Chesapeake Lodging Trust	CHSP
Capital Bank Financial Corp.	CBF*	Chimera Investment Corp.	CIM*
Capital City Bank Group, Inc.	CCBG*	China Life Insurance ADS	LFC
Capital One Financial Corp	COF*	China Mobile Limited ADS	CHL
Capital One Financial Corporation Warrants (expiring Novembe	COF+	Christopher & Banks Corporation Common Stock	CBK
Capital Southwest Corporation	CSWC	Chubb Limited	CB*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Cincinnati Bell Inc. Common Shares	CBB	Community First Bancshares, Inc.	CFBI
Cincinnati Financial Corp	CINF*	Community Healthcare Trust Incorporated Common Stock, \$0.01	CHCT
Citigroup Inc.	C*	Community Trust Bancorp, Inc.	CTBI*
Citigroup Inc. Depositary Shs, a 1/1,000th Interest in a Sha	C-K	Community West Bancshares	CWBC
Citigroup Inc. Depositary Shs, a 1/1000th Interest in a Shar	C-J	Compass Diversified Holdings	CODI
Citigroup Inc. Warrants (expiring January 4, 2019)	C+A	Conn's, Inc.	CONN
Citizens & Northern Corporation	CZNC	ConnectOne Bancorp Inc	CNOB*
Citizens Community Bancorp	CZWI	Consolidated Communications Holdings, Inc.	CNSL
Citizens Financial Group Inc.	CFG*	Consumer Portfolio Services, Inc.	CPSS
Citizens First Corp.	CZFC	CoreCivic, Inc. Common Stock	CXW
Citizens Holding Company	CIZN*	CoreLogic, Inc.	CLGX*
City Holding Company	CHCO*	CoreSite	COR
City Office REIT, Inc. Common Stock, \$0.01 par value per sha	CIO	Corporate Office Properties Trust	OFC
Civista Bancshares, Inc.	CIVBP	County Bancorp, Inc.	ICBK
Civista Bancshares, Inc.	CIVB*	Cousins Properties Inc	CUZ
Clifton Savings Bancorp	CSBK	Cowen Group, Inc.	COWN
ClubCorp Inc	MYCC	Crawford & Company Class B Common Stock	CRD.B
CoBiz Financial Inc.	COBZ*	Credit Acceptance Corporation	CACC
Coastway Bancorp, Inc.	CWAY	Credit Suisse Group	CS
Codorus Valley Bancorp, Inc.	CVLY	CubeSmart	CUBE
Cohen & Steers Inc	CNS*	Cullen/Frost Bankers Inc	CFR*
Colgate-Palmolive Company Common Stock	CL	Customers Bancorp, Inc.	CUBI*
Colony Bankcorp, Inc.	CBAN	CyrusOne	CONE
Colony NorthStar, Inc.	CLNS*	D.R. Horton, Inc.	DHI*
Columbia Banking System, Inc.	COLB*	DCT Industrial Trust	DCT
Comerica Inc	CMA*	DDR Corp.	DDR
Comerica Incorporated Warrants (expiring November 14, 2018)	CMA+	DNB Financial Corp	DNBF
Commerce Bancshares Inc	CBSH*	DST Systems Inc.	DST
Community Bank System, Inc	CBU*	Deutsche Bank Securities	DB
Community Bankers Trust Corporation	ESXB*	DiamondRock Hospitality Co.	DRH



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Digi International Inc.	DGII	Education Realty Trust, Inc	EDR
Digital Realty Trust	DLR	Eldorado Resorts, Inc.	ERI
DigitalGlobe, Inc. Common Stock	DGI	Elevate Credit Inc.	ELVT
Dime Community Bancshares, Inc.	DCOM*	Ellie Mae	ELLI
Discover Financial Services	DFS*	Ellington Financial LLC	EFC*
Discovery Communications, Inc.	DISCA	Ellington Residential Mortgage REIT	EARN
Dominion Diamond Corporation Common Shares	DDC	Empire State Realty Trust, Inc. Class A Common Stock, \$0.01	ESRT
Donegal Group Inc.	DGICA*	Employers Holdings, Inc.	EIG
Douglas Emmett, Inc	DEI	EnCana Corporation Common Shares	ECA
Drive Shack Inc. Common Stock	DS	Encore Capital Group Inc	ECPG*
Duke Realty Corp.	DRE	Ensco plc Class A Ordinary Share	ESV
Dupont Fabros Technology, Inc.	DFT	Enstar Group LTD	ESGR
Dynegy Inc. Common Stock	DYN	Entegra Financial Corp.	ENFC
Dynex Capital, Inc. 7.625% Series B Cumulative Redeemable Pr	DX-B	Enterprise Bancorp Inc.	EBTC
E*TRADE Financial Corporation	ETFC*	Enterprise Financial Services Corp.	EFSC*
E.I. du Pont de Nemours and Company Common Stock	DD	Equifax	EFX
EMC Insurance Group Inc.	EMCI*	Equitable Financial Corp	EQFN
ENI S.p.A. ADS	E	Equity Bancshares, Inc.	EQBK*
EPR Properties	EPR	Equity Commonwealth Common Shares of Beneficial Interest	EQC
ESSA Bancorp Inc	ESSA	Equity LifeStyle Properties, Inc.	ELS
Eagle Bancorp Montana, Inc.	EBMT	Equity Residential Properties Trust	EQR
Eagle Bancorp, Inc (MD)	EGBN*	Erie Indemnity Company	ERIE
Eagle Financial Bancorp, Inc.	EFBI	Essent Group Ltd.	ESNT*
Eagle Point Credit Company Inc.	ECC*	Essex Property Trust Inc	ESS
East West Bancorp Inc	EWBC*	Evans Bancorp Inc New	EVBN
Easterly Government Properties, Inc. Common Stock	DEA	Evercore Partners Inc	EVR*
Eastgroup Properties	EGP	Everest Re Group, Ltd.	RE*
Eaton Corporation, plc Ordinary Shares, par value \$0.01 per	ETN	Evertec, Inc.	EVTC
Eaton Vance Corp.	EV*	Extended Stay America, Inc. (ESH)	STAY
Ebix, Inc.	EBIX	Extra Space Storage Inc.	EXR



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COMPANY	SYMBOL	COMPANY	SYMBOL
F.N.B. Corporation	FNB*	Fifth Street Finance Corp.	FSCFL
FB Financial	FBK*	Fifth Street Senior Floating Rate Corp.	FSFR
FBL Financial Group, Inc.	FFG	Fifth Third Bancorp	FITB*
FCB Financial Holdings, Inc.	FCB*	Financial Engines Inc	FNGN
FIAT CHRYSLER AUTOMOBILES N V SHS	FCAU	Financial Institutions, Inc	FISI*
FLY Leasing Ltd	FLY	First American Financial Corp.	FAF*
FNB Bancorp	FNBG	First BanCorp	FBP*
FS Bancorp	FSBW	First BanCorp	FBNC*
FS Investment Corporation	FSIC*	First Bancorp, Inc.	FNLC
FSB Bancorp, Inc.	FSBC	First Bank	FRBA
Factset Research Systems, Inc.	FDS	First Busey Corporation	BUSE*
Fair Isaac	FICO	First Business Financial Services, Inc	FBIZ*
Fanhua Inc.	FANH	First Capital, Inc.	FCAP
Farmer Mac (Federal Agricultural Mtg Corp)	AGM*	First Cash Financial Services, Inc.	FCFS
Farmers & Merchants Bancorp, Inc.	FMAO	First Citizens BancShares, Inc.	FCNCA
Farmers Capital Bank Corp	FFKT*	First Commonwealth Financial Corporation	FCF*
Farmers National Banc Corp.	FMNB*	First Community Bancshares, Inc	FCBC*
Farmland Partners	FPI	First Community Corporation	FCCO
Fauquier Bankshares, Inc.	FBSS	First Connecticut Bancorp Inc.	FBNK*
Federal Realty Investment Trust	FRT	First Data Corp.	FDC*
Federated Investors, Inc	FII*	First Defiance Financial Corp	FDEF*
Federated National Holding Company	FNHC*	First Financial Bancorp	FFBC*
FelCor Lodging Trust Incorporated	FCH	First Financial Bancorp.	FFBCW
Fidelity & Guaranty Life Common stock, \$0.01 par value per s	FGL	First Financial Bankshares, Inc.	FFIN*
Fidelity National Financial Ventures	FNFV*	First Financial Corp.	THFF*
Fidelity National Financial, Inc	FNF*	First Financial Northwest, Inc.	FFNW*
Fidelity National Information Services, Inc.	FIS	First Foundation Inc.	FFWM
Fidelity Southern Corporation	LION*	First Hawaiian, Inc.	FHB*
Fidus Investment Corporation	FDUS*	First Horizon National Corp	FHN*
Fifth Street Finance Corp.	FSC*	First Industrial Realty Trust Inc	FR



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COMPANY	SYMBOL	COMPANY	SYMBOL
First Internet Bancorp	INBK*	Gartner, Inc. Common Stock \$.0005 Par Value	IT
First Interstate BancSystem, Inc.	FIBK*	General Electric Capital Corporation 4.70% Notes due May 16,	GEK
First Merchants Corporation	FRME*	General Electric Co	GE
First Mid-Illinois Bancshares Inc.	FMBH	General Growth Properties Inc	GGP
First Midwest Bancorp Inc	FMBI*	Genesis Healthcare, Inc. Class A Common Stock	GEN
First Northwest Bancorp	FNWB	Genworth	GNW*
First Potomac Realty Trust	FPO	German American Bancorp, Inc.	GABC*
First Republic Bank	FRC*	Getty Realty Corp.	GTY
First Savings Financial Group, Inc	FSFG	Glacier Bancorp, Inc.	GBCI*
First South Bancorp, Inc.	FSBK	Gladstone Capital Corporation	GLAD
First US Bancshares, Inc.	FUSB	Gladstone Companies	GAIN
First United Corporation	FUNC	GlaxoSmithKline plc ADS	GSK
Firsthand Technology Value Fund, Inc.	SVVC	Glen Burnie Bancorp	GLBZ
Fiserv Inc	FISV	Global Brokerage Inc	GLBR
Five Oaks Investment Corp.	OAKS	Global Indemnity PLC	GBLI
Five Oaks Investment Corp. Series A Cumulative Preferred Sto	OAKS-A	Global Payments, Inc.	GPN*
Flagstar Bancorp, Inc.	FBC*	Goldcorp Inc. Common Shares	GG
FleetCor Technologies, Inc.	FLT*	Goldman Sacks BDC	GSBD
Flushing Financial Corporation	FFIC*	Golub Capital BDC, Inc.	GBDC*
Forest City Realty Trust, Inc. Class A Common Stock	FCE.A	Government Properties Income Trust	GOV
Forestar Group Inc. Common Stock	FOR	Gramercy Property Trust Inc.	GPT
Fortress Investment Group LLC	FIG*	Granite Point Mortgage Trust Inc.	GPMT*
Franklin Resources, Inc	BEN*	Great Ajax Corp. Common stock	AJX
Franklin Street Properties Corp	FSP	Great Elm Capital Corp	GECC
Fulton Financial Corp.	FULT*	Great Plains Energy Incorporated Common Stock	GXP
GAIN Capital Holdings, Inc.	GCAP*	Great Southern Bancorp, Inc.	GSBC*
GSV Capital Corp	GSVC	Great Western Bancorp, Inc.	GWB*
Gabelli Asset Management	GBL	Green Bancorp, Inc.	GNBC*
Gaming and Leisure Properties, Inc.	GLPI	Green Dot Corporation	GDOT*
Garrison Capital Inc.	GARS	Greenhill & Co., Inc.	GHL*





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Greenlight Capital RE, Ltd.	GLRE	Heritage Insurance Holdings, Inc.	HRTG*
Grifols, S.A.	GRFS	Hersha Hospitality Trust	HT
Grupo Financiero Santander Mexico, S.A.B. de C.V. ADS	BSMX	Highwoods Properties Inc.	HIW
Guaranty Bancorp	GBNK*	Hilltop Holdings Inc.	HTH*
Guaranty Bancshares, Inc.	GNTY	Hilton Worldwide Holdings Inc. Common Stock	HLT
Guaranty Federal Bancshares	GFED	Hingham Institution for Savings	HIFS
H&R Block	HRB	Home BancShares, Inc.	HOMB*
HCI Group, Inc.	HCI*	Home Bancorp, Inc	HBCP
HCP, Inc.	HCP	Home Federal Bancorp, Inc. of Louisiana	HFBL
HDFC Bank Ltd. ADS	HDB	HomeStreet, Inc.	HMST*
HFF, Inc.	HF*	HomeTrust Bancshares, Inc.	HTBI*
HSBC Holdings PLC	HSBC	HopFed Bancorp, Inc.	HFBC
HSN, Inc.	HSNI	Hope Bancorp, Inc.	HOPE*
HV Bancorp, Inc.	HVBC	Horace Mann Educators Corporation	HMN*
Hallmark Financial Services, Inc.	HALL	Horizon Bancorp	HBNC*
Hamilton Bancorp, Inc.	HBK	Horizon Pharma, Inc.	HZNP
Hamilton Lane Advisors LLC	HLNE*	Horizon Technology Finance Corporation	HRZN*
Hancock Holding Co	HBHC*	Hospitality Properties Trust	HPT
Hanmi Financial Corp	HAFC*	Host Hotels & Resorts Corporation	HST
HarborOne Bancorp, Inc.	HONE	Houlihan Lokey, Inc.	HLI*
Harvest Capital Credit Corporation	HCAP*	Hovnanian Enterprises Inc.	HOV
Harvest Capital Credit Corporation	HCAPL	Howard Bancorp Inc.	HBMD
Hawaiian Telcom Holdco, Inc.	HCOM	Hudson Pacific Properties, Inc.	HPP
Hawthorn Bancshares, Inc.	HWBK	Humana Inc	HUM
Healthcare Realty Trust Inc	HR	Huntington Bancshares Inc	HBAN*
Heartland Financial USA, Inc.	HTLF*	Huntsman Corporation Common Stock	HUN
Hercules Capital, Inc.	HTGC*	Hyatt Corporation	H
Hercules Capital, Inc. 6.25% Notes due 2024	HTGX	ICC Holdings, Inc.	ICCH
Heritage Commerce Corp	HTBK*	IF Bancorp, Inc.	IROQ
Heritage Financial Corp	HFWA*	IHS Markit	INFO



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ING Groep NV	ING	Jack Henry & Associates	JKHY
INTL FCStone Inc.	INTL	Jacksonville Bancorp, Inc.	JXSB
Iberiabank Corporation	IBKC*	James River Group Holdings, Ltd.	JRVR*
Icici Bank	IBN	Janus Henderson Group Plc	JHG*
Independent Bank Corp	INDB*	Jones Lang LaSalle Incorporated	JLL*
Independent Bank Corporation	IBCP*	KB Home	KBH*
Independent Bank Group, Inc.	IBTX*	KCAP Financial, Inc.	KCAP*
Infinity Property and Casualty Corp.	IPCC*	KKR & Co. L.P.	KKR*
InfraREIT, Inc. Common Stock	HIFR	KKR Real Estate Finance Trust Inc.	KREF*
Insight Enterprises, Inc.	NSIT	KLA-Tencor Corporation	KLAC
Intel Corp	INTC	Kearny Financial Corp.	KRNY*
InterXion Holding N.V. Ordinary shares, nominal value	INXN	Kemper Corporation	KMPR
Interactive Brokers Group, Inc.	IBKR*	Kennedy Wilson	KW
Intercontinental Exchange, Inc.	ICE*	Kentucky First Federal Bancorp	KFFB
International Bancshares Corp.	IBOC	KeyCorp	KEY*
Invesco Ltd.	IVZ*	Kilroy Realty Corp	KRC
Invesco Mortgage Capital, Inc	IVR*	Kimco Realty	KIM
Investar Holding Corporation	ISTR	Kingsway Financial Services Inc.	KFS
Investment Technology Group, Inc.	ITG*	Kinsale Capital Group, Inc.	KNSL
Investors Bancorp, Inc.	ISBC*	Kite Realty Group Trust	KRG
Investors Title Company	ITIC	Knight Transportation, Inc. Common Stock	KNX
Invitation Homes Inc.	INVH*	Kookmin Bank ADS	KB
Ishares Dow Jones U.S. Real Estate Etf	IYR	LCNB Corp.	LCNB
Ishares Ftse Nareit Mortgage Reits Etf	REM	LPL Financial Holdings Inc.	LPLA*
Itau CorpBanca ADS	ITCB	LSI Corporation	LSI
Iteris, Inc.	ITI	LTC Properties	LTC
J. Alexander's Holdings, Inc. Common Stock, par value \$0.001	JAX	LaSalle Hotel Properties	LHO
JMP Group Inc.	JMP*	Ladder Capital Corp.	LADR*
JPMorgan Chase & Co.	JPM*	Ladenburg Thalmann Financial Services Inc. Common Stock	LTS
JPMorgan Chase & Co. Warrants (expiring October 28, 2018)	JPM+	Lake Shore Bancorp, Inc. (MHC)	LSBK



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Lakeland Bancorp, Inc	LBAI*	MB Financial, Inc	MBFI*
Lakeland Financial Corporation	LKFN*	MBIA, Inc.	MBI
Lam Research Corporation	LRCX	MBT Financial Corp.	MBTF
Landmark Bancorp Inc.	LARK	MFA Financial, Inc.	MFA*
Las Vegas Sands Corp. Common Stock	LVS	MGIC Investment Corp.	MTG*
Lattice Semiconductor Corporation	LSCC	MGM Growth Properties LLC Class A common shares representing	MGP
Lazard Ltd.	LAZ*	MSB Financial Corp (MHC)	MSBF
LegacyTexas Financial Group, Inc.	LTXB*	MSCI Inc.	MSCI*
Legg Mason Inc	LM*	MTGE Investment Corp.	MTGE*
LendingClub Corporation	LC*	MVC Capital	MVC
LendingTree	TREE	Macatawa Bank Corporation	MCBC*
Lennar Corporation	LEN*	Macerich Company	MAC
Leucadia National Corporation Common Stock	LUK	Mack-Cali Realty Corporation	CLI
Level 3 Communications, Inc. Common Stock, \$0.01 par value	LVLT	Mackinac Financial Corporation	MFNC
Lexington Realty Trust	LXP	Magyar Bancorp, Inc.	MGYR
Liberty Financial Companies	L	Maiden Holdings North America, Ltd. 7.75% Notes due 2043	MHNC
Liberty Global plc	LBTYA	Maiden Holdings, Ltd.	MHLD*
Liberty Global plc	LBTYK	Main Street Capital Corporation	MAIN
Liberty Interactive Corporation	QVCA	Main Street Capital Corporation 6.125% Senior Notes due 2023	MSCA
Liberty Property Trust	LPT	MainSource Financial Group, Inc.	MSFG*
Life Storage, Inc. Common Stock	LSI	Mallinckrodt public limited company Ordinary Shares, par val	MNK
Lincoln National Corp	LNC*	Malvern Bancorp, Inc.	MLVF*
Live Oak Bancshares, Inc.	LOB*	Manning & Napier, Inc.	MN*
LivePerson, Inc.	LPSN	Manulife Financial Corporation	MFC
Lloyds TSB Group ADS	LYG	Markel Corp	MKL
Lumos Networks Corp.	LMOS	MarketAxess Holdings, Inc.	MKTX*
M D C Holdings Inc.	MDC	Marlin Business Services	MRLN
M&T Bank Corporation	MTB*	Marriott International	MAR
M/I Homes, Inc.	MHO	Marriott Vacations Worldwide Corporation Common Stock par va	VAC
MACOM Technology Solutions Holdings, Inc.	MTSI	Marsh & McLennan Companies, Inc.	MMC*



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COMPANY	SYMBOL	COMPANY	SYMBOL
Mastercard Inc.	MA*	Monmouth Real Estate Investment Corp.	MNR
Matson, Inc	MATX	Monogram Residential Trust, Inc. Common Stock	MORE
MedEquities Realty Trust, Inc. Common Stock	MRT	Monroe Capital Corp.	MRCC
Medallion Financial Corp.	MFIN	Monsanto Company Common Stock	MON
Medical Properties Trust, Inc	MPW	Moody's Corporation	MCO
Medley Capital Corporation	MCC*	Morgan Stanley	MS*
Medley Capital Corporation 6.125% Senior Notes due 2023	MCV	Morningstar, Inc.	MORN
Medley Capital Corporation 6.50% Notes due 2021	MCX	Morton's Restaurant Group, Inc. Common Stock	MRT
Medley Management Inc.	MDLY*	MutualFirst Financial, Inc.	MFSF*
Medtronic plc Ordinary Shares	MDT	Mylan N.V.	MYL
Melco Resorts & Entertainment Limited	MLCO	NBT Bancorp Inc	NBTB*
Melrose Bancorp, Inc.	MELR	NCR Corporation Common Stock	NCR
Mercantile Bank Corporation	MBWM*	NI Holdings, Inc.	NODK
Mercury General Corporation	MCY	NMI Holdings, Inc.	NMIH*
Meridian Bancorp, Inc.	EBSB*	NOBAO RENEWABLE ENRGY HLDG LTD SPONSORED ADR : NRE	NRE
Meritage Homes Corporation	MTH*	NVR	NVR
MetLife, Inc.	MET*	NXP Semiconductors N.V.	NXPI
Meta Financial Group, Inc.	CASH*	Nasdaq, Inc.	NDAQ*
Mid Penn Bancorp	MPB	National Bank Holdings Corporation	NBHC*
Mid-America Apartment Communities, Inc.	MAA	National Bankshares, Inc.	NKSH
MidSouth Bancorp, Inc	MSL*	National Commerce Corporation	NCOM*
MidWestOne Financial Group, Inc.	MOFG*	National General Holdings Corp.	NGHC*
Middlefield Banc Corp	MBCN*	National Health Investors, Inc.	NHI
Midland States Bancorp, Inc.	MSBI*	National Holdings Corporation	NHLD
Mitel Networks Corporation	MITL	National Retail Properties	NNN
Mitsubishi UFJ Financial Group ADS	MTU	National Western Life	NWLI
Mizuho Financial Group ADS	MFG	Nationstar Mortgage Holdings, Inc.	NSM*
Mobileye N.V. Ordinary Shares, nominal value â–0.01	MBLY	Navient Corp	NAVI*
Moelis & Company	MC*	Neff Corporation Class A common stock, par value \$0.01 per s	NEFF
Moneygram International, Inc.	MGI	Nelnet, Inc.	NNI



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
NeuroDerm Ltd.	NDRM	OHA Investment Corporation	OHAI
New Home Co. Inc.	NWHM	OM Asset Management plc	OMAM*
New Mountain Finance Corporation	NMFC*	ONEOK, Inc. Common Stock	OKE
New Residential Investment Corp.	NRZ*	Oaktree Capital Group, LLC	OAK*
New York Community Bancorp, Inc.	NYCB*	OceanFirst Financial Corp.	OCFC*
New York Mortgage Trust, Inc.	NYMT*	Och-Ziff Capital Management Group LLC	OZM*
New York Mortgage Trust, Inc.	NYMTP	Oconee Federal Financial Corp. (MHC)	OFED
New York REIT, Inc. Common Stock	NYRT	Ocwen Financial Corporation	OCN*
NewStar Financial, Inc.	NEWS*	Office Depot, Inc.	ODP
Newmont Mining Corporation Common Stock	NEM	Ohio Valley Banc Corp.	OVBC
Newtek Business Services Corp.	NEWT*	Old Line Bancshares, Inc.	OLBK*
Newtek Business Services Corp.	NEWTL	Old National Bancorp	ONB*
Nexstar Media Group, Inc.	NXST	Old Point Financial Corporation	OPOF
Nicholas Financial Inc	NICK	Old Republic International Corporation	ORI
Nicolet Bancshares, Inc.	NCBS	Old Second Bancorp, Inc.	OSBC*
Nomura Holdings ADS	NMR	Omega Healthcare Investors	OHI
Nord Anglia Education, Inc. Ordinary shares	NORD	On Deck Capital, Inc.	ONDK*
Norfolk Southern Corporation Common Stock	NSC	One Liberty Properties Inc.	OLP
NorthStar Realty Europe Corp. Common Stock	NRE	OneBeacon Insurance Group, Ltd.	OB
Northeast Bancorp	NBN	OneMain Holdings, Inc.	OMF*
Northern Trust Corp	NTRS*	Oppenheimer Holding Inc.	OPY
Northfield Bancorp, Inc.	NFBK*	Opus Bank	OPB*
Northrim BanCorp Inc	NRIM	Oritani Financial Corp	ORIT*
Northwest Bancshares, Inc.	NWBI*	Orrstown Financial Services, Inc.	ORRF*
Norwood Financial Corp.	NWFL	Ottawa Bancorp, Inc.	OTTW
Novartis AG ADS	NVS	Owens Realty Mortgage Inc. Common stock	ORM
Novelion Therapeutics Inc.	NVLN	Oxford Lane Capital Corp.	OXLC
OFG Bancorp	OFG*	PAREXEL International Corporation	PRXL
OFG Bancorp 7.125% Noncumulative Monthly Income Preferred St	OFG-A	PCSB Financial Corporation	PCSB
OFS Capital Corporation	OFS	PHH Corporation	PHH*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
PICO Holdings, Inc.	PICO	PennyMac Mortgage Investment Trust	PMT*
PJT Partners Inc. Class A Common stock	PJT	People's United Financial, Inc	PBCT*
POWERSHARES KBW Bank Portfolio (ETF)	KBWB	People's Utah Bancorp	PUB
POWERSHARES KBW High Dividend Yield Financial Portfolio (ETF)	KBWD	Peoples Bancorp Inc.	PEBO*
POWERSHARES KBW Premium Yield Equity REIT Portfolio (ETF)	KBWY	Peoples Bancorp of N.C. Inc.	PEBK
POWERSHARES KBW Property & Casualty Insurance Portfolio (ETF)	KBWP	Peoples Financial Corporation	PFBX
POWERSHARES KBW Regional Banking Portfolio (ETF)	KBWR	Petroleo Brasileiro S.A.–Petrobras ADS	PBR
PRA Group, Inc.	PRAA*	Pfs Bancorp Inc.	PBNC
PS Business Parks Inc.	PSB	Piedmont Office Realty Trust, Inc	PDM
PacWest Bancorp	PACW*	Pinnacle Financial Partners, Inc.	PNFP*
Pacific Continental Corp	PCBK*	Piper Jaffray Companies	PJC*
Pacific Mercantile Bancorp	PMBC*	Plumas Bank	PLBC
Pacific Premier Bancorp Inc	PPBI*	Poage Bankshares, Inc.	PBSK
Pan American Silver Corp.	PAAS	Popular, Inc.	BPOP*
Paramount Group, Inc. Common Stock	PGRE	Popular, Inc.	BPOPM
Park National Corporation	PRK*	Porter Bancorp, Inc.	PBIB
Park Sterling Corporation	PSTB*	Potash Corporation of Saskatchewan Inc. Common Shares	POT
Parkway Properties, Inc	PKY	Potlatch Corp	PCH
Patheon N.V. Ordinary shares	PTHN	Power REIT 7.75% Series A Cumulative Redeemable Perpetual Pr	PW–A
Pathfinder Bancorp, Inc. (MHC)	PBHC	Preferred Bank	PFBC
Patriot National Bank	PNBK	Premier Financial Bancorp, Inc.	PFBI
Patriot National, Inc. Common Stock, par value \$0.001 per sh	PN	Primerica, Inc.	PRI*
PayPal Holdings, Inc.	PYPL*	Principal Financial Group, Inc.	PFG*
Peapack–Gladstone Financial Corporation	PGC*	ProAssurance Corporation	PRA*
Pebblebrook Hotel Trust	PEB	ProLogis	PLD
PennantPark Floating Rate Capital Ltd.	PFLT*	ProShares Short KBW Regional Banking	KRS
PennantPark Investment Corp	PNNT*	ProShares Ultra KBW Regional Banking	KRU
Penns Woods Bancorp, Inc.	PWOD	Progressive Corporation	PGR*
Pennsylvania Real Estate Investment Trust	PEI	Proshares UltraShort Real Estate	SRS
PennyMac Financial Services	PFSI*	Prospect Capital Corporation	PSEC



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Prosperity Bancshares, Inc.	PB*	Redfin Corporation	RDFN
Provident Financial Holdings Inc	PROV*	Redwood Trust, Inc.	RWT*
Provident Financial Services, Inc.	PFS*	Regency Centers Corporation	REG
Prudential Bancorp, Inc. of Pennsylvania (MHC)	PBIP	Regional Management Corp.	RM*
Prudential Financial, Inc.	PRU*	Regions Financial Corporation	RF*
Prudential PLC ADS	PUK	Reinsurance Group of America, Inc.	RGA*
Public Storage (MD)	PSA	RenaissanceRe Holdings Ltd.	RNR*
Pulte Group, Inc	PHM	RenaissanceRe Holdings Ltd. 5.375% Series E Preference Share	RNR-E
Putnam Savings Bank	PBBI	Renasant Corporation	RNST*
Pzena Investment Management	PZN	Republic Bancorp, Inc.	RBCAA
QCR Holdings, Inc.	QCRH*	Republic First Bancorp, Inc.	FRBK*
Qiagen N.V.	QGEN	Resource Capital Corp.	RSO
Quintiles IMS Health Holdings, Inc.	Q	Retail Opportunity Investment Corp.	ROIC
RAIT Financial Trust	RAS	Retail Properties of America, Inc. Class A Common Stock	RPAI
RBB Bancorp	RBB*	Rexford Industrial	REXR
RE/MAX Holdings, Inc.	RMAX*	Rio Tinto plc American Depositary Receipts (Each representin	RIO
RELX PLC ADS	RELX	Rite Aid Corporation Common Stock	RAD
RLI Corp	RLI*	Riverview Bancorp, Inc.	RVSB
RLJ Lodging Trust	RLJ	Rockwell Collins, Inc. Common Stock	COL
Radian Group Inc.	RDN*	Royal Bancshares of Pennsylvania, Inc.	RBPAA
Radius Health, Inc.	RDUS	Royal Bank of Canada	RY
Ramco-Gershenson Properties Trust	RPT	Royal Bank of Scotland Group PLC	RBS
Randolph Bancorp, Inc.	RNDB	Ryman Hospitality Properties, Inc. Common Stock	RHP
Raymond James Financial Inc.	RJF*	S&P Global, Inc.	SPGI
Rayonier Advanced Materials Inc. Common Stock, par value \$0.	RYAM	S&T Bancorp Inc	STBA*
Rayonier Inc.	RYN	SAP SE ADS	SAP
Realogy Holdings Corp	RLGY*	SB Financial Group, Inc.	SBFG
Realty Income Corp.	O	SB Financial Group, Inc.	SBFGP
Red Lion Hotels Inc	RLH	SEI Investments Company	SEIC*
Redding Bank of Commerce	BOCH	SI Financial Group, Inc.	SIFI



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
SL Green Realty Corporation	SLG	Shire plc	SHPG
SLM Corporation	SLM*	Shore Bancshares, Inc.	SHBI
SPDR Financial Sector ETF	XLF	ShoreTel, Inc.	SHOR
SPDR Homebuilders Sector ETF	XHB	Sierra Bancorp	BSRR*
SPDR S&P Bank ETF	KBE	Signature Bank	SBNY*
SPDR S&P Capital Markets ETF	KCE	Simmons First National Corporation	SFNC*
SPDR S&P Insurance ETF	KIE	Simon Property Group	SPG
SPDR S&P Regional Banking ETF	KRE	Sinclair Broadcast Group, Inc.	SBGI
STAG Industrial	STAG	Snyder's-Lance, Inc.	LNCE
SVB Financial Group	SIVB*	Solar Capital Ltd.	SLRC*
SWIFT TRANSPORTATION CO., INC. Class A Common Stock	SWFT	Solar Senior Capital Ltd	SUNS
Sabra Health Care REIT	SBRA	Sonus Networks, Inc.	SONS
Safeguard Scientifics, Inc	SFE*	Sony Corporation ADS	SNE
Safety Insurance Group, Inc.	SAFT	Sound Financial Bancorp, Inc.	SFBC
Salisbury Bancorp, Inc.	SAL	South State Corporation	SSB*
Sandy Spring Bancorp, Inc.	SASR*	Southern First Bancshares, Inc.	SFST*
Santander Consumer USA Holdings Inc.	SC*	Southern Missouri Bancorp	SMBC
Saul Centers	BFS	Southern National Bancorp of Virginia, Inc.	SONA
Scripps Networks Interactive, Inc	SNI	Southside Bancshares, Inc.	SBSI*
SeaWorld Entertainment, Inc. Common Stock, \$0.01 par value	SEAS	Southwest Bancorp, Inc.	OKSB*
Seacoast Banking Corp of Florida	SBCF*	Southwest GA Financial Corp	SGB
Sears Holdings Corporation	SHLD	Spartan Corporation Common Stock	SPA
Select Bancorp, Inc.	SLCT	Spirit Realty Capital	SRC
Select Income REIT	SIR	Sprint Corporation Common Stock	S
Selective Insurance Group, Inc	SIGI*	Square, Inc.	SQ*
SemGroup Corporation Class A Common stock, par value \$0.01 p	SEMG	St. Joe Company	JOE
Senior Housing Properties Trust	SNH	Staples, Inc.	SPLS
ServisFirst Bancshares, Inc.	SFBS	Starwood Property Trust, Inc.	STWD*
Severn Bancorp, Inc.	SVBI	Starwood Waypoint Homes	SFR*
Shinhan Financial ADS	SHG	State Auto Financial Corp.	STFC*





## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
State Bank Financial Corporation	STBZ*	T-Mobile US, Inc.	TMUS
State National Companies, Inc.	SNC	T. Rowe Price Group Inc	TROW*
State Street Corporation	STT*	TCF Financial Corporation	TCF*
Stellus Capital Investment Corporation	SCM*	TCG BDC, Inc.	CGBD*
Sterling Bancorp	STL*	TCP Capital Corp.	TCPC*
Stewardship Financial Corporation	SSFN	TD Ameritrade Holding Corp.	AMTD*
Stewart Information Services Corporation	STC*	TFS Financial Corporation	TFSL*
Stock Yards Bancorp, Inc.	SYBT*	THL Credit, Inc.	TCRD*
StoneCastle Financial Corp.	BANX*	THL Credit, Inc. 6.75% Notes due 2022	TCRZ
Stonegate Bank	SGBK*	TICC Capital Corp.	TICC
Store Capital Corp.	STOR	TIER REIT, Inc. Common Stock	TIER
Straight Path Communications Inc. Class B common stock	STRP	TPG Specialty Lending, Inc.	TSLX
Sumitomo Mitsui Financial Group	SMFG	TRI Pointe Group, Inc.	TPH
Summit Financial Group, Inc.	SMMF	Taiwan Semiconductor Manufacturing Company Ltd. ADS	TSM
Summit Hotel Properties, Inc.	INN	Tanger Factory Outlet Centers Inc.	SKT
Summit State Bank	SSBI	Taubman Centers	TCO
Sun Bancorp, Inc.	SNBC*	Taylor Morrison, Inc.	TMHC
Sun Communities	SUI	Terex Corporation Common Stock	TEX
Sun Life Financial Services	SLF	TerraForm Global, Inc.	GLBL
SunCoke Energy Partners, L.P. Common units representing limi	SXCP	Terreno Realty Corp	TRNO
SunCoke Energy, Inc. Common Stock	SXC	Territorial Bancorp Inc	TBNK*
SunTrust Banks Inc	STI*	Tesco Corporation	TESO
SunTrust Banks, Inc. Warrants (expiring November 14, 2018)	STI+B	Tesla, Inc.	TSLA
Sunshine Bancorp, Inc.	SBCP	Texas Capital Bancshares, Inc.	TCBI*
Sunstone Hotel Investors	SHO	Textainer Group Holdings Limited	TGH*
Supreme Industries Inc Class A Common	STS	The Allstate Corporation	ALL*
Sussex Bancorp	SBBX*	The Bancorp Bank	TBBK
Synchrony Financial	SYF*	The Bank of New York Mellon Corporation	BK*
Synovus Financial Corp	SNV*	The Bank of Princeton	BPRN
Synovus Financial Corp. 7.875% Series C Fixed-to-Floating Ra	SNV-C	The Blackstone Group L.P.	BX*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
The Carlyle Group L.P.	CG*	TransUnion Corporation	TRU
The Charles Schwab Corporation	SCHW*	Transocean Ltd. Registered Shares	RIG
The Community Financial Corporation	TCFC*	TriCo Bancshares	TCBK*
The Dow Chemical Company Common Stock	DOW	TriState Capital Holdings, Inc.	TSC*
The First Bancshares, Inc.	FBMS*	Triangle Capital Corporation	TCAP*
The First of Long Island Corporation	FLIC*	Triangle Capital Corporation 6.375% Senior Notes due 2022	TCCA
The GEO Group, Inc. Common Stock, par value \$0.01 per share	GEO	Tribune Media Company Class A Common Stock	TRCO
The Goldman Sachs Group, Inc.	GS*	Triplepoint Venture Growth BDC Corp.	TPVG
The Hanover Insurance Group, Inc.	THG*	Triumph Bancorp, Inc.	TBK*
The Hanover Insurance Group, Inc. 6.35% Subordinated Debenture	THGA	Trustco Bank Corp NY	TRST
The Hartford Financial Services Group, Inc.	HIG*	Trustmark Corporation	TRMK*
The Hartford Financial Services Group, Inc. Warrant Expiring	HIG+	Two Harbors Investment Corp.	TWO*
The Howard Hughes Corporation Common Stock	HHC	Two Rivers Bancorp	TRCB
The Navigators Group, Inc.	NAVG*	U.S. Bancorp	USB*
The PNC Financial Services Group, Inc.	PNC*	U.S. Bancorp Depository Shares each representing a 1/1,000th	USB-O
The PNC Financial Services Group, Inc. Warrant (Expiring Dec)	PNC+	UBS AG	UBS
The Provident Bank	PVBC	UDR, Inc.	UDR
The Travelers Companies, Inc.	TRV*	UMB Financial Corp	UMBF*
The Williams Companies, Inc. Common Stock	WMB	US Itau Unibanco Holding SA	ITUB
Third Point Reinsurance Ltd	TPRE*	Umpqua Holdings Corporation	UMPQ*
TiVo Corporation	TIVO	Union Bankshares Corporation	UBSH*
Timberland Bancorp, Inc.	TSBK	Union Bankshares, Inc.	UNB
Time Warner Inc. Common Stock	TWX	United Bancshares, Inc.	UBOH
Toll Brothers, Inc.	TOL*	United Bankshares Inc	UBSI*
Tompkins Financial Corporation	TMP*	United Community Bancorp	UCBA
Torchmark Corp	TMK*	United Community Banks, Inc.	UCBI*
Toronto Dominion Bank	TD	United Community Financial Corp.	UCFC*
Total S.A. ADS	TOT	United Financial Bancorp, Inc.	UBNK*
Total System Services, Inc.	TSS*	United Fire Group Inc	UFCS
TowneBank	TOWN*	United Insurance Holdings Corp.	UIHC*



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
United Security Bancshares	UBFO	Virtus Investment Partners	VRTS
UnitedHealth Group Incorporated Common Stock	UNH	Visa, Inc.	V*
Unity Bank	UNTY	Vistra Energy Corp. Common stock, par value \$0.01 per share	VST
Universal Health Realty Income Trust	UHT	Vodafone Group PLC	VOD
Universal Insurance Holdings, Inc.	UVE*	Vornado Realty Trust	VNO
Univest Corporation of Pennsylvania	UVSP*	W.R. Berkley Corp	WRB*
Unum Group	UNM*	WEX, Inc.	WEX*
Urban Edge Properties Common Shares	UE	WP Carey & Co. LLC	WPC
Urstadt Biddle Properties	UBA	WP Glimcher, Inc.	WPG
Urstadt Biddle Properties Inc.	UBP	WPP plc	WPPGY
VCA Inc.	WOOF	WSFS Financial Corp	WSFS*
VEREIT, Inc.	VER	WSFS Financial Corporation	WSFSL
VOYA Financial, Inc.	VOYA*	WVS Financial Corporation	WVFC
VWR Corporation	VWR	Waddell & Reed Financial, Inc.	WDR*
Vail Resorts, Inc.	MTN	Walker & Dunlop, Inc.	WD*
Vale S.A. ADS	VALE	Walter Investment Management Corp.	WAC*
Valeant Pharmaceuticals International, Inc. Common Stock	VRX	Washington Federal, Inc.	WAFDW
Validus Holdings Ltd.	VR*	Washington Federal, Inc.	WAFD*
Valley National Bancorp	VLY*	Washington Real Estate Investment Trust	WRE
Vanguard REIT ETF	VNQ	Washington Trust Bancorp, Inc.	WASH*
Vantiv, Inc.	VNTV*	WashingtonFirst Bankshares, Inc.	WFBI*
Veeco Instruments Inc.	VECO	Waterstone Financial, Inc.	WSBF
Ventas Inc	VTR	Wayne Savings Bancshares, Inc.	WAYN
VeriFone Systems, Inc.	PAY	WebMD Health Corp	WBMD
Verisk Analytics, Inc.	VRSK*	Webster City Federal Bancorp (MHC)	WCFB
Veritex Holdings, Inc.	VBTX	Webster Financial Corp	WBS*
Verizon Communications Inc. Common Stock	VZ	Weingarten Realty Investors	WRI
Videocon d2h Limited	VDTH	Wellesley Bancorp, Inc.	WEBK
Village Bank and Trust Financial Corp.	VBFC	Wells Fargo & Company	WFC*
Virtu Financial, Inc	VIRT	Wells Fargo & Company Warrants (Expiring October 28, 2018)	WFC+



## KBW Market Making

US Region

COMPANY	SYMBOL	COMPANY	SYMBOL
Welltower Inc.	HCN	Wright Medical Group N.V.	WMGI
WesBanco Inc	WSBC*	Wyndham Worldwide Corporation	WYN
West Bancorporation, Inc.	WTBA	XL Group Ltd.	XL*
West Corporation	WSTC	Xcerra Corporation	XCRA
West Marine, Inc.	WMAR	Xenia Hotels & Resorts, Inc. Common Stock	XHR
Westamerica Bancorp	WABC*	Xenith Bankshares, Inc.	XBKS*
Westar Energy, Inc. Common Stock	WR	Zhaopin Limited American depository shares, each representin	ZPIN
Westbury Bancorp	WBB	Zillow Group, Inc.	Z
Western Alliance Bancorporation	WAL*	Zions Bancorporation	ZION*
Western Asset Mortgage Capital Corporation	WMC	Zions Bancorporation	ZIONW
Western Digital Corporation	WDC	Zions Bancorporation	ZIONZ
Western New England Bancorp Inc.	WNEB*	Zions Bancorporation Depository Shares, Each Representing 1 /	ZB-H
Western Refining Logistics, LP Common Units representing lim	WNRL	Zions Bancorporation Depository Shs (1 / 40th Interest in a Sh	ZB-A
Western Union	WU	eBay Inc.	EBAY
Westlake Chemical Corporation Common Stock	WLK	eHealth, Inc.	EHTH
Westwood Holdings Group	WHG	iShares Cohen & Steers Realty Majors	ICF
Weyerhaeuser Company	WY	iStar Inc.	STAR*
Whitehorse Finance, Inc.	WHF*	tronc, Inc.	TRNC
Whitestone REIT Common Shares	WSR		
Whole Foods Market, Inc.	WFM		
William Lyon Homes	WLH		
Williams Partners L.P. Common Units Representing Limited Par	WPZ		
Willis Lease Finance Corporation	WLFC		
Willis Towers Watson Public Limited Company	WLTW*		
Windstream Corporation	WIN		
Wintrust Financial Corporation	WTFC*		
WisdomTree Investments, Inc.	WETF*		
Wolverine Bancorp, Inc.	WBKC		
Woori Finance Holdings Co., Ltd.	WF		
World Acceptance	WRLD		