



KBW Capital Markets Index (KSX)

02-Feb-2012

#	Index Component	Ticker	CUSIP	Currency	Last Price	Shares In Index	Weight
1	TD Ameritrade Holding Corp.	AMTD	87236Y108	USD	16.51	695.000	4.03%
2	Franklin Resources	BEN	354613101	USD	113.23	188.000	7.47%
3	CME Group, Inc.	CME	12572Q105	USD	266.01	67.000	6.25%
4	Greenhill & Co., Inc.	GHL	395259104	USD	47.10	89.000	1.47%
5	Goldman Sachs Group, Inc.	GS	38141G104	USD	113.39	208.000	8.27%
6	Interactive Brokers	IBKR	45841N107	USD	15.17	357.000	1.90%
7	Intercontinental Exchange, Inc.	ICE	45865V100	USD	119.65	84.000	3.53%
8	Investment Technology Group	ITG	46145F105	USD	10.64	391.000	1.46%
9	Invesco Ltd	IVZ	N.A.	USD	23.15	617.000	5.01%
10	Jefferies Group	JEF	472319102	USD	15.22	658.000	3.51%
11	Janus Capital Corp.	JNS	47102X105	USD	8.20	1,168.000	3.36%
12	Knight Capital Group, Inc.	KCG	499005106	USD	13.22	634.000	2.94%
13	Lazard Ltd.	LAZ	N.A.	USD	28.77	414.000	4.18%
14	Legg Mason Inc	LM	524901105	USD	26.34	343.000	3.17%
15	Morgan Stanley	MS	617446448	USD	19.52	1,287.000	8.81%
16	Nasdaq OMX Group	NDAQ	631103108	USD	24.66	367.000	3.18%
17	NYSE Euronext	NYX	629491101	USD	26.94	402.000	3.80%
18	Piper Jaffray	PJC	724078100	USD	23.40	104.000	0.85%
19	Raymond James Financial Inc.	RJF	754730109	USD	34.98	342.000	4.20%
20	Charles Schwab & Co Inc	SCHW	808513105	USD	12.12	855.000	3.64%
21	SEI Investments Company	SEIC	784117103	USD	19.05	544.000	3.64%
22	Stifel Financial	SF	860630102	USD	36.46	253.000	3.24%
23	State Street Corporation	STT	857477103	USD	40.98	562.000	8.08%
24	T Rowe Price Associates	TROW	74144T108	USD	57.85	198.000	4.02%

Note: Shares In Index figure is in millions.
Float-adjusted modified market capitalization weighting.

Source: Bloomberg and KBW.

KSX Divisor: USD 1,719.406
KSX Value: USD 165.770

The following indices: U.S.: KBW Bank Index (BKX), KBW Insurance Index (KIX), KBW Capital Markets Index (KSX), KBW Regional Banking Index (KRX), KBW Mortgage Finance Index (MFX), KBW Property & Casualty Index (KPX), KBW Premium Yield Equity REIT Index (KYX); KBW Financial Sector Dividend Yield Index (KDX); Europe: KBW Large-Cap Banks Index (KEBI), KBW Mid/Small Cap Banks Index (KMBI), KBW Large-Cap Insurance Index (KEII), KBW Miscellaneous Financials Index (KMFI), KBW Emerging Europe Financials Index (KEEI); and Global: KBW Global ex-U.S. Financial Sector Index (KGX) are the property of KBWI. KBWI does not guarantee the accuracy and/or completeness of the Indices, makes no express or implied warranties with respect to the Indices and shall have no liability for any damages, claims, losses or expenses caused by errors in the index calculation. KBWI makes no representation regarding the advisability of investing in options on the Index. Past performance is not necessarily indicative of future results.

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