



KBW Nasdaq Index 1Q19 Rebalance Effective After the Close on Friday, 3/15

Summary

We highlight stocks impacted by the 1Q19 KBW Nasdaq Index rebalance and quantify the estimated impact.

- **The 1Q19 rebalance for the KBW Nasdaq indexes is effective after the close on Friday, 3/15.**
- **The KBW Nasdaq family of indexes includes nine indexes and several of these indexes have tradable licensed ETFs: KBW Nasdaq Bank Index (Index Ticker: BKXSM, ETF Ticker: KBWBSM); KBW Nasdaq Capital Markets Index (Index Ticker: KSXSM); KBW Nasdaq Insurance Index (Index Ticker: KIXSM); KBW Nasdaq Regional Banking Index (Index Ticker: KRXSM, ETF Ticker: KBWRSM); KBW Nasdaq Financial Sector Dividend Yield Index (Index Ticker: KDXSM, ETF Ticker: KBWDSM); KBW Nasdaq Premium Yield Equity REIT Index (Index Ticker: KYXSM, ETF Ticker: KBWYSM); KBW Nasdaq Property and Casualty Insurance Index (Index Ticker: KPXSM, ETF Ticker: KBWPSM); KBW Nasdaq Global Bank Index (Index Ticker: GBKXSM); KBW Nasdaq Financial Technology Index (Index Ticker: KFTXSM, ETF Ticker: FTEK.LNSM).**
- **There are the following additions to or deletions from the Indexes in the 1Q19 rebalance.**
 - **KPX Adds (1):** HMN
 - **KSX Adds (1):** LPLA
 - **KYX Deletes (1):** MRT; *MRT failed to meet the dividend payment requirement for continued inclusion.*
- **The most impacted names (at least 0.5 day of volume) are as follows (see pgs 3 - 8 for complete analysis):**
 - **Between 0.5 day to 1 day of buy-in:** PEI, TCRD
 - **5 or more days of selling pressure:** MRT
 - **Between 1 day to 3 days of selling pressure:** BHR, OPI
 - **Between 0.5 day to 1 day of selling pressure:** MRCC, BKCC

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[Glossary of Terms](#)

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***Note:** An investor cannot invest directly in an index. Not all of the listed securities may be suitable for retail investors; in addition, not all of the listed securities may be available to U.S. investors. European investors interested in FTEK LN can contact Invesco at <https://etf.invesco.com/gb/private/en/product/invesco-kbw-nasdaq-fintech-ucits-etf-acc/trading-information>. U.S. investors cannot buy or hold FTEK LN.*

Risks: Calculations are based on 3/8/19 AUM at the Trust and 10-day ADTV. Our estimates are subject to daily changes in part due to market conditions and factors beyond our control, which could lead to the changes in the buy or sell volumes. KBW does not guarantee the accuracy or completeness of the above calculations and shall have no liability for any damages, claims, losses or expenses caused by errors in the calculations. KBW makes no representation regarding the advisability of investing in ETFs. Past performance is not necessarily indicative of future results.



KBW - Guide to 1Q19 KBW Nasdaq Index Rebalance

SORTED <small>Source: KBW Research, Nasdaq, Factset, Bloomberg</small>			Estimated 1Q19 Rebalance Impact					Est. TOTAL 1Q19 Rebalance Impact (Shares)	10-Day ADTV	Est. Days of Volume Impact
Ticker	Company Name	4Q18 Rebalance Impacts	KYX	KDX	KPX	BKX	KRX			
AFG	American Financial Group, Inc.	KPX Reweight	NA	NA	1,473	NA	NA	1,473	303,907	NM
AGNC	AGNC Investment Corp.	KDX Reweight	NA	(16,114)	NA	NA	NA	(16,114)	5,003,825	NM
AIG	American International Group, Inc.	KPX Reweight	NA	NA	3,931	NA	NA	3,931	6,396,927	NM
AIZ	Assurant, Inc.	KPX Reweight	NA	NA	(612)	NA	NA	(612)	507,108	NM
ALL	The Allstate Corp.	KPX Reweight	NA	NA	(1,287)	NA	NA	(1,287)	1,785,855	NM
APTS	Preferred Apartment Communities, Inc.	KYX Reweight	48,616	NA	NA	NA	NA	48,616	297,856	0.2
ARR	ARMOUR Residential REIT, Inc.	KDX Reweight	NA	80,005	NA	NA	NA	80,005	1,174,861	0.1
ASB	Associated Banc-Corp	KRX Reweight	NA	NA	NA	NA	838	838	866,840	NM
BAC	Bank of America Corp.	BKX Reweight	NA	NA	NA	(68,368)	NA	(68,368)	50,390,584	NM
BBT	BB&T Corp.	BKX Reweight	NA	NA	NA	(6,400)	NA	(6,400)	5,623,833	NM
BK	The Bank of New York Mellon Corp.	BKX Reweight	NA	NA	NA	(18,394)	NA	(18,394)	4,031,674	NM
BKU	BankUnited, Inc.	KRX Reweight	NA	NA	NA	NA	(2,093)	(2,093)	630,955	NM
BOH	Bank of Hawaii Corp.	KRX Reweight	NA	NA	NA	NA	(357)	(357)	193,740	NM
BPFH	Boston Private Financial Holdings, Inc.	KRX Reweight	NA	NA	NA	NA	(1,134)	(1,134)	384,227	NM
BRKL	Brookline Bancorp, Inc.	KRX Reweight	NA	NA	NA	NA	(1,165)	(1,165)	221,681	NM
C	Citigroup, Inc.	BKX Reweight	NA	NA	NA	(2,349)	NA	(2,349)	14,519,290	NM
CATY	Cathay General Bancorp	KRX Reweight	NA	NA	NA	NA	1,144	1,144	447,398	NM
CB	Chubb Ltd.	KPX Reweight	NA	NA	1,182	NA	NA	1,182	1,372,820	NM
CBSH	Commerce Bancshares, Inc.	KRX Reweight	NA	NA	NA	NA	(435)	(435)	358,492	NM
CBU	Community Bank System, Inc.	KRX Reweight	NA	NA	NA	NA	816	816	161,736	NM
CFG	Citizens Financial Group, Inc.	BKX Reweight	NA	NA	NA	(19,475)	NA	(19,475)	3,614,027	NM
CFR	Cullen/Frost Bankers, Inc.	KRX Reweight	NA	NA	NA	NA	(600)	(600)	259,100	NM
CHFC	Chemical Financial Corp.	KRX Reweight	NA	NA	NA	NA	1,793	1,793	582,151	NM
CINF	Cincinnati Financial Corp.	KPX Reweight	NA	NA	(815)	NA	NA	(815)	625,630	NM
CMA	Comerica, Inc.	BKX Reweight	NA	NA	NA	(6,843)	NA	(6,843)	1,723,798	NM
COF	Capital One Financial Corp.	BKX Reweight	NA	NA	NA	15,850	NA	15,850	2,734,801	NM
COLB	Columbia Banking System, Inc.	KRX Reweight	NA	NA	NA	NA	(665)	(665)	257,079	NM
CVBF	CVB Financial Corp.	KRX Reweight	NA	NA	NA	NA	2,818	2,818	348,163	NM
DX	Dynex Capital, Inc.	KDX Reweight	NA	(46,823)	NA	NA	NA	(46,823)	652,771	(0.1)
FCF	First Commonwealth Financial Corp.	KRX Reweight	NA	NA	NA	NA	(910)	(910)	368,551	NM
FFBC	First Financial Bancorp	KRX Reweight	NA	NA	NA	NA	(611)	(611)	267,817	NM
FFIN	First Financial Bankshares, Inc.	KRX Reweight	NA	NA	NA	NA	729	729	286,687	NM
FHN	First Horizon National Corp.	KRX Reweight	NA	NA	NA	NA	8,000	8,000	2,426,062	NM
FII	Federated Investors, Inc.	KDX Reweight	NA	(38,866)	NA	NA	NA	(38,866)	798,907	NM
FITB	Fifth Third Bancorp	BKX Reweight	NA	NA	NA	20,911	NA	20,911	7,720,475	NM
FMBI	First Midwest Bancorp, Inc.	KRX Reweight	NA	NA	NA	NA	(974)	(974)	527,106	NM



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Ticker	Company Name	4Q18 Rebalance Impacts	KYX	KDX	KPX	BKX	KRX			
FNB	F.N.B. Corp.	KRX Reweight	NA	NA	NA	NA	2,561	2,561	2,093,942	NM
FRC	First Republic Bank	BKX Reweight	NA	NA	NA	(1,027)	NA	(1,027)	914,772	NM
FULT	Fulton Financial Corp.	KRX Reweight	NA	NA	NA	NA	(1,612)	(1,612)	907,150	NM
GNL	Global Net Lease, Inc.	KYX Reweight	323,072	NA	NA	NA	NA	323,072	834,991	0.4
HAFC	Hanmi Financial Corp.	KDX Reweight	NA	(19,480)	NA	NA	NA	(19,480)	136,849	(0.1)
HBAN	Huntington Bancshares, Inc.	BKX Reweight	NA	NA	NA	(41,481)	NA	(41,481)	11,960,976	NM
HIG	The Hartford Financial Services Group, Inc.	KPX Reweight	NA	NA	(4,154)	NA	NA	(4,154)	1,805,506	NM
HMN	Horace Mann Educators Corp.	KPX Addition	NA	NA	25,622	NA	NA	25,622	162,892	0.2
HOMB	Home Bancshares, Inc.	KRX Reweight	NA	NA	NA	NA	2,085	2,085	591,799	NM
HOPE	Hope Bancorp, Inc.	KRX Reweight	NA	NA	NA	NA	(1,179)	(1,179)	596,447	NM
HWC	Hancock Whitney Corp.	KRX Reweight	NA	NA	NA	NA	(3,004)	(3,004)	349,192	NM
IRT	Independence Realty Trust, Inc.	KYX Reweight	(51,054)	NA	NA	NA	NA	(51,054)	623,681	(0.1)
ISBC	Investors Bancorp, Inc.	KRX Reweight	NA	NA	NA	NA	(1,231)	(1,231)	1,882,311	NM
IVR	Invesco Mortgage Capital, Inc.	KDX Reweight	NA	(52,950)	NA	NA	NA	(52,950)	1,222,754	NM
IVZ	Invesco Ltd.	KDX Reweight	NA	20,646	NA	NA	NA	20,646	4,458,990	NM
JHG	Janus Henderson Group Plc	KDX Reweight	NA	(19,874)	NA	NA	NA	(19,874)	841,103	NM
JPM	JPMorgan Chase & Co.	BKX Reweight	NA	NA	NA	22,201	NA	22,201	12,131,688	NM
KEY	KeyCorp	BKX Reweight	NA	NA	NA	(53,539)	NA	(53,539)	10,012,595	NM
KMPR	Kemper Corp.	KPX Reweight	NA	NA	(1,729)	NA	NA	(1,729)	207,043	NM
LAZ	Lazard Ltd.	KDX Reweight	NA	4,513	NA	NA	NA	4,513	995,464	NM
LM	Legg Mason, Inc.	KDX Reweight	NA	(8,890)	NA	NA	NA	(8,890)	1,017,692	NM
MHLD	Maiden Holdings Ltd.	KPX Reweight	NA	NA	(875)	NA	NA	(875)	436,697	NM
MITT	AG Mortgage Investment Trust, Inc.	KDX Reweight	NA	20,264	NA	NA	NA	20,264	460,819	NM
MTB	M&T Bank Corp.	BKX Reweight	NA	NA	NA	(5,747)	NA	(5,747)	915,723	NM
NAVI	Navient Corp.	KDX Reweight	NA	31,980	NA	NA	NA	31,980	1,969,259	NM
NTRS	Northern Trust Corp.	BKX Reweight	NA	NA	NA	(2,890)	NA	(2,890)	1,225,685	NM
NYCB	New York Community Bancorp, Inc.	BKX Reweight, KDX Reweight	NA	(271,996)	NA	(11,342)	NA	(283,338)	4,588,980	(0.1)
NYMT	New York Mortgage Trust, Inc.	KDX Reweight	NA	48,010	NA	NA	NA	48,010	3,807,302	NM
ONB	Old National Bancorp	KRX Reweight	NA	NA	NA	NA	4,714	4,714	807,697	NM
ORC	Orchid Island Capital, Inc.	KDX Reweight	NA	(23,588)	NA	NA	NA	(23,588)	575,805	NM
ORIT	Oritani Financial Corp.	KDX Reweight	NA	(99,878)	NA	NA	NA	(99,878)	265,800	(0.4)
PACW	PacWest Bancorp	KDX Reweight, KRX Reweight	NA	(1,207)	NA	NA	(186)	(1,393)	998,291	NM
PB	Prosperity Bancshares, Inc.	KRX Reweight	NA	NA	NA	NA	(1,436)	(1,436)	292,993	NM
PBCT	People's United Financial, Inc.	BKX Reweight, KDX Reweight	NA	(41,758)	NA	(8,655)	NA	(50,413)	3,078,266	NM
PFG	Principal Financial Group, Inc.	KDX Reweight	NA	(11,493)	NA	NA	NA	(11,493)	1,630,699	NM
PFS	Provident Financial Services, Inc.	KRX Reweight	NA	NA	NA	NA	(614)	(614)	174,745	NM



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PGR	Progressive Corp.	KPX Rework	NA	NA	(4,462)	NA	NA	(4,462)	2,683,471	NM
PNC	The PNC Financial Services Group, Inc.	BKX Rework	NA	NA	NA	11,284	NA	11,284	2,539,590	NM
PNFP	Pinnacle Financial Partners, Inc.	KRX Rework	NA	NA	NA	NA	(256)	(256)	286,005	NM
RE	Everest Re Group Ltd.	KPX Rework	NA	NA	166	NA	NA	166	254,076	NM
RF	Regions Financial Corp.	BKX Rework	NA	NA	NA	(42,492)	NA	(42,492)	12,969,480	NM
RLI	RLI Corp.	KPX Rework	NA	NA	(452)	NA	NA	(452)	107,956	NM
RNR	RenaissanceRe Holdings Ltd.	KPX Rework	NA	NA	(1,259)	NA	NA	(1,259)	198,751	NM
SBNY	Signature Bank	KRX Rework	NA	NA	NA	NA	(109)	(109)	268,878	NM
SIGI	Selective Insurance Group, Inc.	KPX Rework	NA	NA	(71)	NA	NA	(71)	119,253	NM
SIVB	SVB Financial Group	BKX Rework	NA	NA	NA	(1,945)	NA	(1,945)	333,214	NM
SNV	Synovus Financial Corp.	KRX Rework	NA	NA	NA	NA	29,333	29,333	1,912,565	NM
STI	SunTrust Banks, Inc.	BKX Rework	NA	NA	NA	(19,534)	NA	(19,534)	4,010,419	NM
STL	Sterling Bancorp	KRX Rework	NA	NA	NA	NA	(3,475)	(3,475)	1,815,720	NM
STT	State Street Corp.	BKX Rework	NA	NA	NA	94	NA	94	2,149,126	NM
TCBI	Texas Capital Bancshares, Inc.	KRX Rework	NA	NA	NA	NA	(425)	(425)	706,296	NM
TCF	TCF Financial Corp.	KRX Rework	NA	NA	NA	NA	(240)	(240)	1,372,317	NM
THG	The Hanover Insurance Group, Inc.	KPX Rework	NA	NA	(30)	NA	NA	(30)	223,510	NM
TPRE	Third Point Reinsurance Ltd.	KPX Rework	NA	NA	(1,325)	NA	NA	(1,325)	670,857	NM
TRMK	Trustmark Corp.	KRX Rework	NA	NA	NA	NA	(1,745)	(1,745)	493,465	NM
TRV	The Travelers Cos., Inc.	KPX Rework	NA	NA	515	NA	NA	515	1,420,371	NM
UBSI	United Bankshares, Inc.	KRX Rework	NA	NA	NA	NA	(2,227)	(2,227)	440,297	NM
UCBI	United Community Banks, Inc.	KRX Rework	NA	NA	NA	NA	(696)	(696)	468,219	NM
UMBF	UMB Financial Corp.	KRX Rework	NA	NA	NA	NA	(42)	(42)	192,093	NM
UMPQ	Umpqua Holdings Corp.	KDX Rework, KRX Rework	NA	30,913	NA	NA	7,178	38,091	1,386,741	NM
USB	U.S. Bancorp	BKX Rework	NA	NA	NA	34,518	NA	34,518	4,780,562	NM
UVE	Universal Insurance Holdings, Inc.	KPX Rework	NA	NA	(369)	NA	NA	(369)	365,882	NM
VLY	Valley National Bancorp	KDX Rework, KRX Rework	NA	(28,268)	NA	NA	6,902	(21,366)	2,051,454	NM
WAFD	Washington Federal, Inc.	KRX Rework	NA	NA	NA	NA	(1,025)	(1,025)	305,533	NM
WBS	Webster Financial Corp.	KRX Rework	NA	NA	NA	NA	1,969	1,969	443,042	NM
WDR	Waddell & Reed Financial, Inc.	KDX Rework	NA	20,033	NA	NA	NA	20,033	806,556	NM
WFC	Wells Fargo & Co.	BKX Rework	NA	NA	NA	66,101	NA	66,101	16,944,592	NM
WRB	W.R. Berkley Corp.	KPX Rework	NA	NA	(836)	NA	NA	(836)	417,584	NM
WTFC	Wintrust Financial Corp.	KRX Rework	NA	NA	NA	NA	1,582	1,582	313,130	NM
ZION	Zions Bancorporation NA	BKX Rework	NA	NA	NA	(11,376)	NA	(11,376)	2,085,369	NM
ACGL	Arch Capital Group Ltd.	KPX Rework	NA	NA	(8,117)	NA	NA	(8,117)	1,193,313	NM
AI	Arlington Asset Investment Corp.	KDX Rework	NA	67,669	NA	NA	NA	67,669	709,457	0.1



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Ticker	Company Name	4Q18 Rebalance Impacts	KYX	KDX	KPX	BKX	KRX			
APAM	Artisan Partners Asset Management, Inc.	KDX Reweight	NA	(20,410)	NA	NA	NA	(20,410)	455,884	NM
APLE	Apple Hospitality REIT, Inc.	KYX Reweight	(20,182)	NA	NA	NA	NA	(20,182)	1,294,190	NM
AXS	AXIS Capital Holdings Ltd.	KPX Reweight	NA	NA	102	NA	NA	102	458,990	NM
AYR	Aircastle Ltd.	KDX Reweight	NA	(16,856)	NA	NA	NA	(16,856)	337,641	NM
BGCP	BGC Partners, Inc.	KDX Reweight	NA	741,949	NA	NA	NA	741,949	2,420,404	0.3
BHR	Braemar Hotels & Resorts, Inc.	KYX Reweight	(389,133)	NA	NA	NA	NA	(389,133)	297,105	(1.3)
BKCC	BlackRock Capital Investment Corp.	KDX Reweight	NA	(179,723)	NA	NA	NA	(179,723)	377,561	(0.5)
BPOP	Popular, Inc.	KRX Reweight	NA	NA	NA	NA	(16,234)	(16,234)	671,462	NM
BRG	Bluerock Residential Growth REIT, Inc.	KYX Reweight	(243,559)	NA	NA	NA	NA	(243,559)	112,816	(2.2)
BRX	Brixmor Property Group, Inc.	KYX Reweight	(111,009)	NA	NA	NA	NA	(111,009)	2,967,256	NM
BXS	BancorpSouth Bank	KRX Reweight	NA	NA	NA	NA	(2,164)	(2,164)	666,342	NM
CIM	Chimera Investment Corp.	KDX Reweight	NA	8,129	NA	NA	NA	8,129	1,216,571	NM
CIO	City Office REIT, Inc.	KYX Reweight	63,957	NA	NA	NA	NA	63,957	300,399	0.2
CLDT	Chatham Lodging Trust	KYX Reweight	15,547	NA	NA	NA	NA	15,547	337,974	NM
CXW	CoreCivic, Inc.	KYX Reweight	71,094	NA	NA	NA	NA	71,094	705,355	0.1
EPR	EPR Properties	KYX Reweight	614	NA	NA	NA	NA	614	454,887	NM
EWBC	East West Bancorp, Inc.	KRX Reweight	NA	NA	NA	NA	(127)	(127)	720,533	NM
FHB	First Hawaiian, Inc.	KRX Reweight	NA	NA	NA	NA	(1,435)	(1,435)	1,158,839	NM
FSK	FS KKR Capital Corp.	KDX Reweight	NA	(121,051)	NA	NA	NA	(121,051)	1,822,178	(0.1)
GBCI	Glacier Bancorp, Inc.	KRX Reweight	NA	NA	NA	NA	3,694	3,694	280,851	NM
GEO	The GEO Group, Inc.	KYX Reweight	23,477	NA	NA	NA	NA	23,477	593,537	NM
GLPI	Gaming & Leisure Properties, Inc.	KYX Reweight	(35,743)	NA	NA	NA	NA	(35,743)	1,241,378	NM
HPT	Hospitality Properties Trust	KYX Reweight	9,737	NA	NA	NA	NA	9,737	801,753	NM
HT	Hersha Hospitality Trust	KYX Reweight	(8,910)	NA	NA	NA	NA	(8,910)	642,724	NM
IBKC	IBERIABANK Corp.	KRX Reweight	NA	NA	NA	NA	(742)	(742)	309,004	NM
INN	Summit Hotel Properties, Inc.	KYX Reweight	(14,351)	NA	NA	NA	NA	(14,351)	587,704	NM
KRG	Kite Realty Group Trust	KYX Reweight	51,918	NA	NA	NA	NA	51,918	612,889	0.1
LXP	Lexington Realty Trust	KYX Reweight	(668,701)	NA	NA	NA	NA	(668,701)	3,320,966	(0.2)
MC	Moelis & Co.	KDX Reweight	NA	(7,197)	NA	NA	NA	(7,197)	484,101	NM
MCY	Mercury General Corp.	KDX Reweight, KPX Reweight	NA	15,326	(583)	NA	NA	14,742	213,155	0.1
MFA	MFA Financial, Inc.	KDX Reweight	NA	(79,279)	NA	NA	NA	(79,279)	2,578,222	NM
MRCC	Monroe Capital Corp.	KDX Reweight	NA	(133,943)	NA	NA	NA	(133,943)	211,669	(0.6)
MRT	MedEquities Realty Trust, Inc.	KYX Deletion	(1,950,710)	NA	NA	NA	NA	(1,950,710)	356,654	(5.5)
NLY	Annaly Capital Management, Inc.	KDX Reweight	NA	(52,462)	NA	NA	NA	(52,462)	12,516,247	NM
NRZ	New Residential Investment Corp.	KDX Reweight	NA	56,727	NA	NA	NA	56,727	5,010,126	NM
OHI	Omega Healthcare Investors, Inc.	KYX Reweight	(7,537)	NA	NA	NA	NA	(7,537)	2,177,848	NM



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			KYX	KDX	KPX	BKX	KRX			
OPI	Office Properties Income Trust	KYX Reweight	(409,996)	NA	NA	NA	NA	(409,996)	426,736	(1.0)
OXSQ	Oxford Square Capital Corp.	KDX Reweight	NA	(54,230)	NA	NA	NA	(54,230)	287,517	(0.2)
OZK	Bank OZK	KRX Reweight	NA	NA	NA	NA	(11,029)	(11,029)	721,365	NM
PEI	Pennsylvania Real Estate Investment Trust	KYX Reweight	1,420,123	NA	NA	NA	NA	1,420,123	2,045,748	0.7
PRA	ProAssurance Corp.	KPX Reweight	NA	NA	(563)	NA	NA	(563)	249,020	NM
RLJ	RLJ Lodging Trust	KYX Reweight	89,950	NA	NA	NA	NA	89,950	2,040,623	NM
SBRA	Sabra Health Care REIT, Inc.	KYX Reweight	119,980	NA	NA	NA	NA	119,980	3,019,265	NM
SNH	Senior Housing Properties Trust	KYX Reweight	368,671	NA	NA	NA	NA	368,671	2,998,592	0.1
SNR	New Senior Investment Group, Inc.	KYX Reweight	188,111	NA	NA	NA	NA	188,111	498,319	0.4
TCRD	THL Credit, Inc.	KDX Reweight	NA	127,062	NA	NA	NA	127,062	281,338	0.5
TRTN	Triton International Ltd.	KDX Reweight	NA	23,052	NA	NA	NA	23,052	376,508	0.1
TWO	Two Harbors Investment Corp.	KDX Reweight	NA	318,251	NA	NA	NA	318,251	1,733,798	0.2
UNIT	Uniti Group, Inc.	KYX Reweight	1,988,729	NA	NA	NA	NA	1,988,729	9,981,223	0.2
VER	VEREIT, Inc.	KYX Reweight	(30,522)	NA	NA	NA	NA	(30,522)	7,897,364	NM
WAL	Western Alliance Bancorporation	KRX Reweight	NA	NA	NA	NA	1,125	1,125	766,755	NM
WMC	Western Asset Mortgage Capital Corp.	KDX Reweight	NA	7,872	NA	NA	NA	7,872	308,115	NM
WPG	Washington Prime Group, Inc.	KYX Reweight	653,036	NA	NA	NA	NA	653,036	3,015,362	0.2
WSR	Whitestone REIT	KYX Reweight	170,330	NA	NA	NA	NA	170,330	657,813	0.3



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			Count	Percent
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Underperform [SELL]	40	6.55	6	15.00
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Suspended [SP]	8	1.31	3	37.50
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